



राजपत्र, हिमाचल प्रदेश (असाधारण)

हिमाचल प्रदेश राज्य शासन द्वारा प्रकाशित

शिमला, शुक्रवार, 30 सितम्बर, 2005/8 आश्विन, 1927

हिमाचल प्रदेश सरकार

HIMACHAL PRADESH ELECTRICITY REGULATORY COMMISSION

NOTIFICATION

Shimla-2, the 9th September, 2005

No. HPERC/426.—The following draft regulations, which the Himachal Pradesh Electricity Regulatory Commission proposes to make in exercise of the powers under section 62(2) of the Electricity Act, 2003 (36 of 2003) and all powers enabling it in that behalf, are hereby published, as required by sub-section (3) of section 181 of the said Act, for the information of all the persons likely

to be affected thereby, and, notice is hereby given that the said draft regulation will be taken into consideration after the expiry of thirty days from the date of their publication in the Rajpatra, Himachal Pradesh, together with any objections or suggestions which may within the aforesaid period be received in respect thereto.

The objections or suggestions in this behalf should be addressed to the Secretary, Himachal Pradesh Electricity Regulatory Commission, Keonthal Commercial Complex, Khalini, Shimla-171002.

DRAFT REGULATIONS

1. *Short title, application, commencement and Extent.*—(1) These Regulations may be called the Himachal Pradesh Electricity Regulatory Commission (Guidelines and Formats for Tariff Filings) Regulation, 2005.

(2) These Regulations shall be applicable to all Intra-State Transmission Licensees and the Distribution Licensees in the State.

(3) These regulations shall come into force from the date of their publication in the Rajpatra, Himachal Pradesh.

(4) These Regulations extend to the whole of the State of Himachal Pradesh.

2. *Definitions and interpretation.*—(1) In these Regulations, unless the context otherwise requires,

(a) “Act” means the Electricity Act, 2003 (36 of 2003).

(b) “Commission” means the Himachal Pradesh Electricity Regulatory Commission;

(c) “Licence” means a licence granted under section 14 of the Act to undertake Intra-State Transmission or Distribution of Electricity;

(d) “Licensed Business” shall mean the function and activities, which the Licensee is required to undertake in terms of the Licence granted or being a deemed Licensee under the Act;

(e) “Licensee” means a person who has been granted a licence and includes deemed licensee in the State of Himachal Pradesh;

(f) “Tariff” means a schedule of standard prices or charges (termed ‘rates’), together with terms and conditions for the application of the tariff to a supply of electricity or electricity related service.

(2) Words and expressions used and not defined in these regulations but defined in the Act shall have the meanings as assigned to them in the Act.

(3) The Himachal Pradesh General Clauses Act shall apply to the interpretation of this Regulation.

3. *Application for determination of Tariff.*—(1) Every year, by November 30th, every generating company and licensee shall file with the Commission, a tariff application with statements containing the Expected Revenues from the Tariff and Charges including Miscellaneous charges of

the ensuing financial year, under its currently approved tariff and charges including Miscellaneous charges along with detailed explanations for assumptions made. The trading licensee shall file the application if required to do so, by a written order of the Commission. The information to be filed by the generating companies and licensees are as follows :

- (a) Formats for Generating Company provided in Annexure 1.
- (b) Formats for Transmission licensee provided in Annexure 2.
- (c) Formats for Distribution licensee provided in Annexure 3.
- (d) Formats for Trading licensee provided in Annexure 4.
- (e) Formats for SLDC provided in Annexure 5 :

Provided that non-compliance of this provision shall be treated as contravention of the regulations and the Commission may initiate proceedings to direct action as provided under section 142 of the Act.

(2) The Generating Companies and Licensees shall submit a statement on compliance of directives issued by the Commission in its last tariff order along with formats as stated in sub-regulation (1) above.

(3) In addition to the information to be submitted as per the formats in sub-regulation (1) above, the licensee should also submit the following as applicable:—

- Copies of reports submitted by the consultants on various engagements.
- Minutes of Meetings and Action Taken Reports on Public Interaction programme.
- Audit reports of the Comptroller and Auditor General of India.
- Initiatives undertaken under Power Sectors Reforms.
- E-governance initiatives undertaken; if any.

(4) The Licensee should furnish information regarding installation of HVDS System and improvement in the HT/LT ratio. Any progress regarding unmanning of substations linked to the retirement pattern of employees should also be furnished.

(5) The Licensee should furnish information of Open Access consumers such as existing consumers, pending applications, contracted capacity, point of injection and point of drawl.

(6) A petition for determination of tariff shall be accompanied by information in forms specified by the Commission for the previous year, current year and the ensuing year. The information for the previous year should be based on audited accounts and in case audited accounts for previous year are not available, audited accounts for the immediately preceding previous year should be filed along with un-audited accounts for the previous year.

(7) Every new licensee shall file, with the Commission, immediately on grant of licence, a tariff application along with details as stated in sub-regulation (1) above. Every new generating company shall file a tariff application with the Commission, at least two months ahead of commencement of commercial operations.

(8) The filed application along with the duly filled up formats and explanations will be treated as a petition. The petition shall be filed as per the procedure provided in 'HPERC (Conduct of Business) Regulations' and complete in all respects.

(9) Every application for determination of tariff or for continuation of previously determined tariff shall be accompanied with a tariff application fee based on the previous year's actuals as specified 'HPERC (Conduct of Business) Regulations.'

(10) All filings should be in conformity with the stipulations in the licensing regulations and the conditions of the licence. Separate copies of the filing shall be sent to all generating companies, licensees and State Government.

(11) The transmission and distribution licensees shall include a detailed statement of voltage-wise, category-wise Aggregate Technical and Commercial losses (AT&C). The voltage-wise losses shall be distributed according to the energy drawn at that voltage level. The licensees must provide plans for reducing the losses, together with the details of the investment required to achieve the planned reductions. All such plans are required to be submitted year-wise, commencing with the ensuing financial year and covering at least the four subsequent years indicating the sources of funds required to execute these plans.

(12) If there is a revenue gap between the Expected Revenues from the currently applicable Tariff and Charges including Miscellaneous charges and the revenue requirement for the ensuing financial year, the generating company and licensee shall include a proposal/plan as to how it proposes to bridge this revenue gap.

(13) In addition to the hard copies, the tariff filing alongwith all the information shall necessarily be submitted in electronic form.

(14) The generating companies and licensees are required to constitute and intimate the particulars to the Commission regarding the working group responsible for providing the desired information and communication with the Commission for necessary clarification etc., if any.

(15) Commission may seek clarification and additional information on inadequacies in the application, if any, and the generating company and licensee shall provide the clarifications within the date stipulated by the Commission.

(16) Any delay/non-submission of the tariff application/information (as stated in sub-regulation 1, 8, 9, 11) may attract penalty/fines in accordance with the appropriate provisions of the Electricity Act, 2003 (36 of 2003) besides postponing the effective date of admission of the application for the purpose of sub-section (3) of section 64 of the Act.

4. Use of the Information.—(1) The Commission shall have the right to use the information submitted by the generators or the licensees, its successor entities and other electricity utilities as it deems fit including publishing it or placing it on the Commission's website and/or directing the generator or the licensee to display the information on the generator or the licensee's website.

5. Multi Year Tariff Principles and Guidelines.—(1) The Commission may adopt multi year tariff principles for all matters relating to tariff determination including expected revenue from tariff and charges including Miscellaneous charges, allowable cost for prescribed operational efficiency

levels, the revision in tariff and charges, changes in tariff structure and such other matters as may be considered necessary.

(2) The Commission may, from time to time, issue guidelines for filing statement of revenue calculations and tariff applications for multi-year and unless waived by the Commission, the licensee shall follow such guidelines issued by the Commission.

6. Repeal and savings.—(1) The Himachal Pradesh Electricity Regulatory Commission (Guidelines for Revenue and Tariff Filing) notified on February 23, 2001 is hereby repealed.

(2) Nothing in these Regulations shall be deemed to limit or otherwise affect the inherent power of the Commission to make such orders as may be necessary to meet the ends of justice or to prevent abuses of the process of the Commission.

(3) Nothing in these Regulations shall bar the Commission from adopting in conformity with the provisions of the Electricity Act, 2003 (36 of 2003) a procedure, which is at variance with any of the provisions of these Regulations, if the Commission, in view of the special circumstances of a matter or class of matters and for reasons to be recorded in writing, deems it necessary or expedient for dealing with such a matter or class of matters.

(4) Nothing in these Regulations shall, expressly or impliedly, bar the Commission dealing with any matter or exercising any power under the Electricity Act, 2003 (36 of 2003) for which no Regulations have been framed, and the Commission may deal with such matters, powers and functions in a manner it thinks fit.

7. Issue of orders and practice directions.—Subject to the provisions of the Electricity Act, 2003 (36 of 2003) and these regulations, the Commission may, from time to time, issue Orders and Practice Directions in regard to the implementation of these Regulations and procedure to be followed on various matters, which the Commission has been empowered by these Regulations to direct, and matters incidental or ancillary thereto.

8. Power to remove difficulties.—If any difficulty arises in giving effect to any of the provisions of these Regulations, the Commission may, by general or special order, do or undertake or direct the Licensee to do or undertake things, which in the opinion of the Commission is necessary or expedient for removing the difficulties.

9. Power to Amend.—The Commission may, at any time add, vary, alter, modify or amend any provisions of these regulations.

BY ORDER OF THE COMMISSION,

Sd/-
Secretary.

Annexure - I

TARIFF FILING FORMS (HYDRO)

Appendix-I

Checklist of Forms and other information/ documents for tariff filing for Hydro Stations

Form No.	Title of Tariff Filing Forms (Hydro)	Tick
FORM-1	Summary of Tariff Proposal	
FORM-2	Details of type of hydro station, Capacity Index, Primary energy rate	
FORM-3	Salient Features of hydro electric project	
FORM-4	Details of Foreign loans	
FORM-5	Abstract of Admitted Capital Cost for the existing Projects	
FORM-5A	Abstract of Capital Cost Estimates and Schedule of Commissioning for the New projects	
FORM-5B	Break up of capital Cost	
FORM-5C	Break up of Project Cost for Plant and Equipment	
FORM-5D	Break-up of Construction/Supply/Service packages	
FORM-6	Financial Package upto COD	
FORM-7	Details of Project Specific Loans	
FORM-8	Details of Allocation of corporate loans to various projects	
FORM-9	Statement of Additional Capitalisation after COD	
FORM-10	Financing of Additional Capitalisation	
FORM-11	Statement of Depreciation	
FORM-12	Calculation of Depreciation Rate	
FORM-13	Calculation of weighted average rate of interest on actual loan	
FORM-13A	Calculation of interest on loan	
FORM-14	Calculation of Advance Against Depreciation (AAD)	
FORM-15	Calculation of Interest on Working Capital	
FORM-16	Draw Down Schedule for Calculation of IDC & Financing Charges	
FORM-17	Calculation of Operation & Maintenance Expenses	
FORM-18	Details of Operation & Maintenance Expenses	
Other Information/ Documents		
Sl. No.	Information/Document	Tick
1	Certificate of incorporation, Certificate for Commencing Business, Memorandum of Association & Article of Association (for new station set up by a company making tariff application for the	
2	Stationwise and Corporate AUDITED Balance Sheet (Annually) and Profit & Loss Accounts with all the Schedules & annexures on COD of the station and for the relevant years on Quarterly	
3	Copies of relevant loan agreements	
4	Copies of the approval of Competent Authority for the Capital Cost and Financial package	
5	Copies of the Equity participation agreements and necessary approval for the foreign equity	
6	Copies of the BPSA/PPA with the beneficiaries, if any	
7	Detailed note giving reasons of time and cost over run, if applicable	
8	Any other relevant information (Please specify)	

Note: Electronic copy in the form of CD/Floppy disc shall also be furnished.

Summary Sheet

FORM-1

Name of the Company

Name of the Power Station :

State

District

(Rs. in lacs)

S.No.	Particulars	Existing 2003-04	2003-04	2004-05	2005-06	2006-07	2007-08
1	2	3	4	5	6	7	8
1	Depreciation	FORM- 11					
2	Interest on Loan	FORM- 13A					
3	Return on NFA/Equity ¹						
4	Advance against Depreciation	FORM- 14					
5	Interest on Working Capital	FORM- 15					
6	O & M Expenses						
	Total						

¹ Details of calculations, considering equity as per regulation, to be furnished.

Form - 2

Details of COD, Type of hydro station, Capacity Index, Primary energy rate

NAME OF COMPANY:

NAME OF POWER STATION :

Sl. No.	Description	As per CERC norms for tariff period 2004-05 to 2008-09
1	Installed Capacity	MW
2	Free power to home state	%
3	Date of commercial operation	
	Unit-1	
	Unit-2	
4	Type of Station	
	a) Surface/underground	
	b) Purely ROR/ Pondage/Storage	
	c) Peaking/non-peaking	
	d) No. of hours of peaking	
	e) Overload capacity(MW) & period	
5	Type of excitation	
	a) Rotating exciters on generator	
	b) Static excitation	
6	Design Energy (Annual) ¹	Gwh
7	Auxiliary Consumption	%
8	Transformation losses	%
9	Saleable Primary Energy	Gwh
10	Primary Energy Rate	paise/Kwh
11	Primary Energy Charge	Rs. in crore
12	Capacity Index	
13	Normative value	
14	Annual Capacity Utilisation	

¹ Monthwise Design energy figures to be given separately with the petition.

SALIENT FEATURES OF HYDROELECTRIC PROJECT							FORM-3
NAME OF COMPANY:							
NAME OF POWER STATION:							
1	Location						
	State/Distr						
	River						
2	Diversion Tunnel						
	Size, shape						
	Length						
3	Dam						
	Type						
	Maximum dam height						
4	Spillway						
	Type						
	Crest level of spillway						
5	Reservoir						
	Full Reservoir Level (FRL)						
	Minimum Draw Down Level (MDDL)						
	Live storage (MCM)						
6	Desilting Arrangement						
	Type						
	Number and Size						
	Particle size to be removed(mm)						
7	Head Race Tunnel						
	Size and type						
	Length						
	Design discharge(Cumecs)						
8	Surge Shaft						
	Type						
	Diameter						
	Height						
9	Penstock/Pressure shafts						
	Type						
	Diameter & Length						
10	Power House						
	Type						
	Installed capacity (No of units x MW)						
	Peaking capacity during lean period (MW)						
	Type of turbine						
	Rated Head(M)						
	Rated Discharge(Cumecs)						
11	Tail Race Tunnel						
	Diameter, shape						
	Length						
	Minimum tail water level						
12	Switchyard						
	Type of Switch gear						
	No. of generator bays						
	No. of Bus coupler bays						
	No. of line bays						
13	<p>Note: Specify limitation on generation during specific time period on account of restriction(s) on water use due to irrigation, drinking water, industrial, environmental considerations etc</p>						

FORM-4

Details of Foreign loans

(Details only in respect of loans applicable to the project under petition)

Name of the Company _____
 Name of the Power Station _____
 Exchange Rate at COD _____
 Exchange Rate as on 31.03.2004 _____

(Amount in lacs)

Financial Year (Starting from COD)	Year 1				Year 2				Year 3 and so on			
1	2	3	4	5	6	7	8	9	10	11	12	13
	Date	Amount (Foreign Currency)	Exchange Rate	Amount (Rs.)	Date	Amount (Foreign Currency)	Exchange Rate	Amount (Rs.)	Date	Amount (Foreign Currency)	Exchange Rate	Amount (Rs.)
Currency ¹												
At the date of Draw ²												
Scheduled repayment date of principal												
Scheduled payment date of interest												
At the end of Financial year												
Currency ¹												
At the date of Draw ²												
Scheduled repayment date of principal												
Scheduled payment date of interest												
At the end of Financial year												
Currency ¹ & so on												
At the date of Draw ²												
Scheduled repayment date of principal												
Scheduled payment date of interest												
At the end of Financial year												

¹ Name of the currency to be mentioned e.g. US \$, DM, etc. etc.

² In case of more than one draw during the year, Exchange rate at the date of each draw to be given

FORM-5	
Abstract of Admitted Capital Cost for the existing Projects	
Name of the Company :	
Name of the Power Station :	
Capital cost as admitted by Commission	
Capital cost admitted as on -----	
(Give reference of the relevant Commission Order with Petition No. & Date) *	
Foreign Component, if any (In Million US \$ or the relevant Currency)	
Domestic Component (Rs. Cr.)	
Foreign Exchange rate considered for the admitted cost	
Total Capital cost admitted (Rs. Cr)	

FORM-5A		
Abstract of Capital Cost Estimates and Schedule of Commissioning for the New projects		
Name of the Company : _____		
Name of the Power Station : _____		
<u>New Projects</u>		
<u>Capital Cost Estimates</u>		
Board of Director/ Agency approving the project cost estimates:		
Date of approval of the Capital cost estimates:		
	Present Day Cost	Completed Cost
Price level of approved estimates	As of End of _____ Qtr. Of the year _____	As on Scheduled COD of the Station
Foreign Exchange rate considered for the capital cost estimates		
Capital Cost excluding IDC & FC		
Foreign Component, if any (In Million US \$ or the relevant Currency)		
Domestic Component (Rs. Cr.)		
Capital cost excluding IDC & FC (Rs. Cr.)		
IDC & FC		
Foreign Component, if any (In Million US \$ or the relevant Currency)		
Domestic Component (Rs. Cr.)		
IDC & FC (Rs.Cr.)		
Rate of taxes & duties considered		
Schedule of Commissioning		
COD of Unit-I		
COD of Unit-II		
COD of Unit-III		
COD of Unit-IV		
COD of Unit-V		
COD of Unit-VI		
COD of Unit-VII		
COD of Unit-VIII		
COD of Unit-IX		
COD of Unit-X		
Note. 1. Copy of approval letter from competent authority should be enclosed 2. Details of capital cost are to be furnished as per FORM-5B or 5C as applicable 3. Details of IDC & Financing Charges are to be furnished as per FORM-16.		

Break up of Capital cost for hydro power generating station

NAME OF COMPANY:

NAME OF POWER STATION:

(Rs. in crore)

Sl. No.	Head of works	Original cost as approved by	Cost on COD	Variation	Reasons for variation	Admitted cost
1	2	3	4	5	6	7
1.0	Infrastructure Works					
1.1	Preliminary including Development, Investigation and planning					
1.2	Land					
1.3	Buildings, Roads					
1.4	Township					
1.5	Maintenance					
1.6	Tools & Plants					
1.7	Communication					
1.8	Environment & Ecology					
1.9	Losses on stock					
1.10	Receipt & Recoveries					
1.11	Total (Infrastructure works)					
2.0	Major Civil Works					
2.1	Dam, Intake & Desilting Chambers					
2.2	HRT, TRT, Surge Shaft & Pressure shafts					
2.3	Power Plant civil works					
2.4	Other civil works (to be specified)					
2.5	Total (Major Civil Works)					
3.0	Hydro Mechanical equipments					
4.0	Plant & Equipment					
4.1	Initial spares of Plant & Equipment					
4.2	Total (Plant & Equipment)					
5.0	Taxes and Duties					
5.1	Custom Duty					
5.2	Other taxes & Duties					
5.3	Total Taxes & Duties					
6.0	Construction & Pre-commissioning expenses					
6.1	Erection, testing & commissioning					
6.2	Construction Insurance					
6.3	Site supervision					
6.4	Total (Const. & Pre-commissioning)					
7.0	Overheads					
7.1	Establishment					
7.2	Design & Engineering					
7.3	Audit & Accounts					
7.4	Contingency					
7.5	Rehabilitation & Resettlement					
7.6	Total (Overheads)					
8.0	Capital Cost without IDC & FC					
9.0	Financing charges (FC)					
10.0	Interest during construction (IDC)					
11.0	Capital Cost with IDC & FC					

Note:

1 In case of time and cost over-run of the project, a detailed note giving reasons of such time and cost over run should be submitted, clearly bringing out the agency responsible and whether such time and cost over run was beyond the control of the generating company

2 Any independent enquiry has been conducted - if yes, then submission of the findings

Break up of Capital Cost for Plant & Equipment						FORM-5C
NAME OF COMPANY:						
NAME OF POWER STATION:						
(Rs. in crore)						
Sl. No.	Head of works	Original Cost as approved by Authority	Cost on COD	Variation	Reasons for variation	Admitted cost
1	2	3	4	5	6	7
1.0	Generator, turbine & Accessories					
1.1	Generator package					
1.2	Turbine package					
1.3	Unit control Board					
1.4	C&I package					
1.5	Bus Duct of G1 connection					
1.6	Total (Generator, turbine & Accessories)					
2.0	Auxiliary Electrical Equipment					
2.1	Step up transformer					
2.2	Unit Auxiliary Transformer					
2.3	Local supply transformer					
2.4	Station transformer					
2.5	SCADA					
2.6	Switchgear, Batteries, DC dist. Board					
2.7	Telecommunication equipment					
2.8	Illumination of Dam, PI and Switchyard					
2.9	Cables & cable facilities, grounding					
2.10	Diesel generating sets					
2.11	Total (Auxiliary Elect. Equipment)					
3.0	Auxiliary equipment & services for power station					
3.1	EOT crane					
3.2	Other cranes					
3.3	Electric lifts & elevators					
3.4	Cooling water system					
3.5	Drainage & dewatering system					
3.6	Fire fighting equipment					
3.7	Air conditioning, ventilation and heating					
3.8	Water supply system					
3.9	Oil handling equipment					
3.10	Workshop machines & equipment					
3.11	Total (Auxiliary equipt. & services for PS)					
4.0	Switchyard package					
5.0	Initial spares for all above equipments					
6.0	Total (Plant & Equipment)					

Break-up of Construction/Supply/Service packages								FORM-5D		
Name of the Company : _____										
Name of the Power Station : _____										
Sl.No.	Name/No. of Construction Supply Service Package	Scope of works (in line with head of cost break- ups as applicable)	Whether awarded through ICB/DCB/ Departmentally/ Deposit Work	No. of bids received	Date of Awar d	Date of Start of work	Date of Completi on of Work	Value of Award ¹ in (Rs. Cr.)	Firm or With Escalation in prices	Actual expenditure till the completion or up to COD whichever is earlier(Rs.Cr.)
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)

¹ If there is any package, which need to be shown in Indian Rupee and foreign currency(ies), the same should be shown separately alongwith the currency, the exchange rate and the date e.g. Rs.80 Cr+US\$50m=Rs.280Cr at US\$=Rs40 as on say 4.1.1999.

FORM-6

Financial Package upto COD

Name of the Company

Name of the Power Station

Project Cost as on COD¹Date of Commercial Operation of the Station²

(Amount in lacs)

	Financial Package as Approved		Financial Package as on COD		As Admitted on COD	
	Currency and Amount ³		Currency and Amount ³		Currency and Amount ³	
1	2	3	4	5	6	7
Loan-I	US\$	200m				
Loan-II						
Loan-III						
and so on						
Equity-						
Foreign						
Domestic						
Total Equity						
Debt : Equity Ratio						

¹ Say US\$ 200m + Rs. 400 Cr or Rs. 1200 Cr including US\$ 200m at an exchange rate of 1 US\$ = Rs 40/-² Date of Commercial Operation means Commercial Operation of the last unit³ For example : US\$, 200M etc.etc

FORM- 7

Details of Project Specific Loans

Name of the Company _____
 Name of the Power Station _____

(Amount in lacs)

Particulars	Package1	Package2	Package3	Package4	Package5	Package6
1	2	3	4	5	6	7
Source of Loan ¹						
Currency ²						
Amount of Loan sanctioned						
Amount of Gross Loan drawn upto 31.03.2004/COD ^{3,4,5,13,14}						
Interest Type ⁶						
Fixed Interest Rate, if applicable						
Base Rate, if Floating Interest ⁷						
Margin, if Floating Interest ⁸	Yes/No	Yes/No	Yes/No	Yes/No	Yes/No	Yes/No
Are there any Caps/Floor ⁹						
If above is yes specify caps/floor						
Moratorium Period ¹⁰						
Moratorium effective from						
Repayment Period ¹¹						
Repayment effective from						
Repayment Frequency ¹²						
Repayment Instalment ^{13,14}						
Base Exchange Rate ¹⁵						

¹ Source of loan means the agency from whom the loan has been taken such as WB, ADB, WMB, PNB, SBI, ICICI, IFC, PFC etc

² Currency refers to currency of loan such as US\$, Euro, DM, Yen, Indian Rupee etc

³ Details are to be submitted as on 31.03.2004 for existing assets and as on COD for the remaining assets.

⁴ Where the loan has been refinanced, details in the Form is to be given for the loan refinanced. However, the details of the original loan is to be given separately in the same form

⁵ If the Tariff in the petition is claimed separately for various units, details in the Form is to be given separately for all the units in the same form
 Interest type means whether the interest is fixed or floating

⁶ Base rate means the base as PLR, LIBOR etc over which the margin is to be added. Applicable base rate on different dates from the date of drawl may also be enclosed

⁷ Margin means the points over and above the floating rate

⁸ At times caps/floor are put at which the floating rates are frozen. If such a condition exists, specify the limits

⁹ Moratorium period refers to the period during which loan servicing liability is not required

¹⁰ Repayment period means the repayment of loan such as 7 years, 10 years, 25 years etc

¹¹ Repayment frequency means the interval at which the debt servicing is to be done such as monthly, quarterly, half yearly, annual, etc

¹² Where there is more than one drawl/repayment for a loan, the date & amount of each drawl/repayment may also be given separately

¹³ If the repayment instalment amount and repayment date can not be worked out from the data furnished above, the repayment schedule to be furnished separately

¹⁴ In case of Foreign loan, date of each drawl & repayment alongwith exchange rate at that date may be given

¹⁵ Base exchange rate means the exchange rate prevailing as on 31.03.2004 for existing assets and as on COD for the remaining assets

FORM- 8

Details of Allocation of corporate loans to various projects

Name of the Company
Name of the Power Station

(Amount in lacs)						
Particulars	Package1	Package2	Package3	Package4	Package5	Remarks
1	2	3	4	5	6	7
Source of Loan ¹						
Currency ²						
Amount of Loan sanctioned						
Amount of Gross Loan drawn upto						
31.03.2004/COD ^{3,4,2,13,15}						
Interest Type ⁵						
Fixed Interest Rate, if applicable						
Base Rate, if Floating Interest ⁷						
Margin, if Floating Interest ⁸						
Are there any Caps/Floor ⁹	Yes/No	Yes/No	Yes/No	Yes/No	Yes/No	
If above is yes, specify caps/floor						
Moratorium Period ¹⁰						
Moratorium effective from						
Repayment Period ¹¹						
Repayment effective from						
Repayment Frequency ¹²						
Repayment Instalment ^{13,14}						
Base Exchange Rate ¹⁶						
Distribution of loan packages to various projects						
Name of the Projects						Total
Project 1						
Project 2						
Project 3 and so on						

¹ Source of loan means the agency from whom the loan has been taken such as WB, ADB, WMB, PNB, SBI, ICICI, IFC, PFC etc.

² Currency refers to currency of loan such as US\$, DM, Yen, Indian Rupee etc

³ Details are to be submitted as on 31.03.2004 for existing assets and as on COD for the remaining assets.

⁴ Where the loan has been refinanced, details in the Form is to be given for the loan refinanced. However, the details of the original loan is to be given separately in the same form.

⁵ If the Tariff in the petition is claimed separately for various units, details in the Form is to be given separately for all the units in the same form.

⁶ Interest type means whether the interest is fixed or floating.

⁷ Base rate means the base as PLR, LIBOR etc. over which the margin is to be added. Applicable base rate on different dates from the date of drawl may also be enclosed.

⁸ Margin means the points over and above the floating rate.

⁹ At times caps/floor are put at which the floating rates are frozen. If such a condition exists, specify the limits

¹⁰ Moratorium period refers to the period during which loan servicing liability is not required.

¹¹ Repayment period means the repayment of loan such as 7 years, 10 years, 25 years etc.

¹² Repayment frequency means the interval at which the debt servicing is to be done such as monthly, quarterly, half yearly, annual, etc.

¹³ Where there is more than one drawl/repayment for a loan, the date & amount of each drawl/repayment and its allocation may also be given separately

¹⁴ If the repayment instalment amount and repayment date can not be worked out from the data furnished above, the repayment schedule to be furnished separately

¹⁵ In case of foreign loan, date of each drawl & repayment alongwith exchange rate at that date may be given

¹⁶ Base exchange rate means the exchange rate prevailing as on 31.03.2004 for existing assets and as on COD for the remaining assets

FORM-10

Financing of Additional Capitalisation

Name of the Company _____
 Name of the Power Station _____
 Date of Commercial Operation _____

(Amount in lacs)

Financial Year (Starting from COD)	Actual					Admitted				
	Year1	Year2	Year3	Year4	Year 5 & So on	Year1	Year2	Year3	Year4	Year 5 & So on
1	2	3	4	5	6	7	8	9	10	11
Amount capitalised in Work/Equipment										
Financing Details										
Loan-1										
Loan-2										
Loan-3 and so on										
Total Loan ¹										
Equity										
Internal Resources										
Others										
Total										

¹ Year 1 refers to Financial Year of COD and Year 2, Year 3 etc. are the subsequent financial years respectively.

[illegible]

FORM- 12

Calculation of Depreciation Rate

Name of the Company

Name of the Power Station

Sl. no.	Name of the Assets ¹	(Amount in lacs)		
		Gross Block as on 31.03.2004 or as on COD, whichever is later	Depreciation Rates as per CERC's Depreciation Rate Schedule	Depreciation Amount
	1	2	3	4= Col.2 X Col.3
1	Land			
2	Building			
3	and so on			
4				
5				
6				
7				
8				
9				
10				
18				
19				
20				
21				
22				
23				
24				
	TOTAL			
	Weighted Average Depreciation Rate (%)			

¹ Name of the Assets should conform to the description of the assets mentioned in Depreciation Schedule appended to the CERC Notification.

FORM- 13

Calculation of Weighted Average Rate of Interest on Actual Loans¹

Name of the Company

Name of the Power Station

(Amount in lacs)

Sl. no.	Particulars	Existing 2003-04	2004-05	2005-06	2006-07	2007-08	2008-09
1	2	3	4	5	6	7	8
	Loan-1						
	Gross loan - Opening						
	Cumulative repayments of Loans upto previous year						
	Net loan - Opening						
	Add: Drawal(s) during the Year						
	Less: Repayment (s) of Loans during the year						
	Net loan - Closing						
	Average Net Loan						
	Rate of Interest on Loan						
	Interest on loan						
	Loan-2 and so on						
	Gross loan - Opening						
	Cumulative repayments of Loans upto previous year						
	Net loan - Opening						
	Add: Drawal(s) during the Year						
	Less: Repayment (s) of Loans during the year						
	Net loan - Closing						
	Average Net Loan						
	Rate of Interest on Loan						
	Interest on loan						
	Total Loan						
	Gross loan - Opening						
	Cumulative repayments of Loans upto previous year						
	Net loan - Opening						
	Add: Drawal(s) during the Year						
	Less: Repayment (s) of Loans during the year						
	Net loan - Closing						
	Average Net Loan						
	Interest on loan						
	Weighted average Rate of Interest on Loans						

¹ In case of Foreign Loans, the calculations in Indian Rupees is to be furnished. However, the calculations in Original currency is also to be furnished separately in the same form.

FORM-13A

Calculation of Interest on Loans

Name of the Company

Name of the Power Station

(Amount in lacs)

Particulars	Existing 2003-04	2004-05	2005-06	2006-07	2007-08	2008-09
1	2	3	4	5	6	7
Gross loan - Opening						
Cumulative repayments of Loans upto previous year						
Net loan - Opening						
Increase/Decrease due to ACE during the Year						
Repayments of Loans during the year						
Net loan - Closing						
Average Net Loan						
Weighted average Rate of Interest on Loans						
Interest on loan						

FORM- 14

Calculation of Advance Against Depreciation (AAD)

Name of the Company _____

Name of the Power Station _____

(Amount in lacs)

Particulars	Existing 2003 04	2004-05	2005-06	2006-07	2007-08	2008-09
1	2	3	4	5	6	7
1/10th of the Loan(s)						
Repayment of the Loan(s) as considered for working out Interest on Loan						
Minimum of the Above						
Less: Depreciation during the year						
(A ¹)						
Cumulative Repayment of the Loan(s) as considered for working out Interest on Loan						
Less: Cumulative Depreciation						
(B ¹)						
Advance Against Depreciation (Minimum of A & B)						

¹ If the amount is negative, it will be shown as zero.

FORM-15

Calculation of Interest on Working Capital

Name of the Company

Name of the Power Station

(Amount in lacs)

Sl. No.	Particulars	Existing 2003-04	2004-05	2005-06	2006-07	2007-08	2008-09
1	2	3	4	5	6	7	8
1	O & M expenses						
2	Maintenance Spares						
3	Receivables						
	Total Working Capital						
	Rate of Interest						
	Interest on Working Capital						

Draw Down Schedule for Calculation of IDC & Financing Charges

[illegible]

Note: Drawals of debt and equity shall be on ~~proportion~~ basis to meet the commissioning schedule. Drawal of higher equity in the beginning is permissible.

FORM-17

CALCULATION OF OPERATION AND MAINTENANCE EXPENSES

Name of the Company:

Name of the Power station:

(Rupees)

						Average	Base	Tariff Period					
	1998-99	1999-2000	2000-01	2001-02	2002-03	1998-99 to 2002-03	2003-04	2004-05	2005-06	2006-07	2007-08	2008-09	
1	2	3	4	5	6	7	9	10	11	12	13	14	
CASE I: O&M data available for 1998-99 to 2002-03													
(Base O&M on the basis of actual data)													
A) Total O&M Expenses													
B) Abnormal O&M expenses*													
- Additional security expenses													
- Situation													
- Over staffing													
- Any Other (Specify)													
C) Calculation of Base O&M (A-B)						E	$X=E \times (1.04)^1$	$X \times (1.04)$	$X \times (1.04)^2$	$X \times (1.04)^3$	$X \times (1.04)^4$	$X \times (1.04)^5$	
CASE II: Stations for which O&M data for 1998-99 to 2002-03 is not available													
Year of Commissioning													
Calculation of Base O&M**						..	Y	$Y \times (1.04)$	$X \times (1.04)^2$	$X \times (1.04)^3$	$X \times (1.04)^4$	$X \times (1.04)^5$	

* Abnormal O&M expenses such as:

- Security expenses on account of insurgency (other than normal security)
- Due to abnormal situation
- There may be redeployment of staff from completed projects to those under construction. Yearwise details to be given.

** Base O&M = (0.015 x Capital cost) escalated at the rate of 4 percent per annum to bring it to 2003-04 level

For example if the capital cost of the plant commissioned in 2000-01 is Rs 1000 crores then the base for 2003-04 is computed as follows:-

(Base O&M for 2003-04 = Rs. $(0.015 \times 1000) \times (1.04)^3$ crore

DETAILS OF OPERATION AND MAINTENANCE EXPENSES

FORM 18

Name of the Company :

Name of the Power Station :

(Rs. In Lacs)

	ITEMS	1998-99	1999-00	2000-01	2001-02	2002-03
	1	2	3	4	5	6
(A)	Breakup of O&M expenses					
	1) Consumption of Stores and Spares					
	2) Repair and Maintenance					
	3) Insurance					
	4) Security					
	5) Administrative Expenses					
	- Rent					
	- Electricity Charges					
	- Travelling and conveyance					
	- Telephone, telex and postage					
	- Advertising					
	- Entertainment					
	- Others (Specify items)					
	Sub-Total (Administrative Expenses)					
	6) Employee Cost					
	a) Salaries, wages and allowances					
	b) Staff welfare expenses					
	c) Productivity linked incentive					
	7) Corporate office expenses allocation					
	8) Total (1 to 8)					
	LESS Recovered, if any					
	Net Expenses					

Notes

- I. The process of allocation of corporate expenses to generating stations should be specified
- II. An annual increase in O&M expenses under a given head in excess of 20 percent should be explained
- III. The data should be based on audited balance sheets

(B)	Breakup of corporate expenses (Aggregate)					
	- Employee expenses					
	- Repair and maintenance					
	- Training and Recruitment					
	- Communication					
	- Travelling					
	- Security					
	- Rent					
	- Others					
	Total					
(C)	Details of number of Employees					
	i) Executives					
	ii) Non-Executives					
	iii) Skilled					
	iv) Non-Skilled					
	Total					

Name of the Company :

Name of the Power Station :

Key Ratios

Form No: 19

S. No	Particulars	PY	CY
		Actual	Estimated
1	Annual capital expenditure/net book value		
2	Employee cost as a percentage of total cost		
3	Operating expenses / Revenue from Sale of power		
4	Cost of capital		
5	Debt Service Coverage Ratio		
6	Training participation days per employee		

NAME OF TRANSMISSION LICENSEE

INDEX OF REGULATORY COMPLIANCE FORMATS FOR ARR & TARIFF FILING BY TRANSMISSION LICENSEE

Summary Formats			
1	Sheet	S1	Profit & Loss Account
2	Sheet	S2	Balance Sheet
3	Sheet	S3	Cash flow statement
4	Sheet	S4	Annual Revenue Requirement
5	Sheet	S5	Reasonable Return
6	Sheet	S6	Energy Balance
Detailed Financial Formats			
7	Sheet	F1	Revenue from Tariff and Charges
8	Sheet	F1a	Projection of Sales, Customers, Connected load & Demand
9	Sheet	F2	Income from investments and other non-tariff income
10	Sheet	F3	Expenses for SLDC's fees & charges
11	Sheet	F4	R&M Expenses
12	Sheet	F5	Employees' Cost & Provisions
13	Sheet	F5a	Wing wise Cost
14	Sheet	F5b	Retirement Pattern
15	Sheet	F6	Administration & General Expenses
16	Sheet	F7	Statement of Fixed Assets and Depreciation
17	Sheet	F8	Interest and Finance charges
18	Sheet	F8a	Domestic loans, bonds and Financial leasing
19	Sheet	F9	Details of expenses Capitalised
20	Sheet	F10	Debits, write-offs and other items
21	Sheet	F11	Statement of Sundry Debtors and provision for Bad & Doubtful Debts
22	Sheet	F12	Extraordinary Items
23	Sheet	F13	Net Prior Period Expenses/Income
24	Sheet	F14	Contribution Grants & subsidies towards Capital assets
25	Sheet	F15	Project-wise / Scheme-wise Capital Expenditure
26	Sheet	F16	Statements of assets not in use
27	Sheet	F17	Investments
28	Sheet	F18	Current Assets and Liabilities
29	Sheet	F19	Working Capital Requirements
30	Sheet	F20	Income Tax Provisions
Tariff Formats			
31	Sheet	T1	Existing & Proposed Tariff
32	Sheet	T2	Revenue from Current Tariffs in Ensuing Year
33	Sheet	T3	Revenue from Proposed Tariffs in Ensuing Year
Performance Formats			
34	Sheet	P1	Losses in the System
35	Sheet	P2	Status of Metering
36	Sheet	P3	Voltage Profile
37	Sheet	P4	Frequency Excursion
38	Sheet	P5	Abstract of outages due to tripping in HT feeders
39	Sheet	P6	Failure of Transformers
40	Sheet	P7	Major system disturbances
41	Sheet	P8	Electrical Accidents
42	Sheet	P9	Peak Demand
43	Sheet	P10	Key Ratios

NOTES for the Transmission Licensee

- Electronic copy in the form of CD/ Floppy Disc shall also be furnished
- These formats are indicative in nature and the utility may align the line items to its chart of accounts

PY Previous Year
CY Current Year
EY Ensuing Year

Profit & Loss Account		Name of Transmission Licensee		Form No: S1		
		All figures in Rs Crores				
	Particulars		PY	CY	EY	
			Actual	Estimated	Projection	
A	Revenue					
	1 Revenue from transmission and ancillary services*					
	2 Other Non-tariff income					
	3 Revenue subsidies					
	Total Revenue or Income					
B	Expenditure					
	1 Expenses for SLDC's fees & charges					
	2 Repairs and Maintenance					
	3 Employee costs					
	4 Administration and General expenses					
	5 Net prior period credit charges					
	6 Other Debits, Write-offs					
	7 Extraordinary items					
	8 Less: Expenses Capitalized					
C	PBDIT					
D	Depreciation and Related debits					
E	PBIT					
	1 Interest & Finance Charges					
	2 Less: Interest Capitalized					
F	Total Interest and Finance Charges					
G	TOTAL EXPENDITURE					
H	Profit/Loss before Tax					
I	Income Tax					
J	Profit/Loss after Tax					

* Ancillary Services implies revenues from grid support, reactive energy and other facility provided.

Name of Transmission Licensee		Form No: S2 All figures in Rs Crores		
Balance Sheet		PY	CY	EY
Particulars		Actual	Estimated	Projection
I. SOURCES OF FUNDS				
<i>A) Shareholders' Funds</i>				
a) Share Capital				
b) Reserves and Surplus				
<i>B) Special Appropriation towards Project Cost</i>				
<i>C) Loan Funds</i>				
a) Secured Loans				
b) Unsecured Loans				
<i>D) Other sources of Funds</i>				
a) Capital contributions from consumers				
b) Consumers' Security Deposits				
TOTAL SOURCES OF FUNDS				
II. APPLICATION OF FUNDS				
<i>A) Fixed Assets</i>				
a) Gross Block				
b) less: Accumulated Depreciation				
c) Net Block				
d) Capital Work in Progress				
e) less: Amount written off till date				
<i>B) Investments</i>				
<i>C) Current Assets, Loans and Advances</i>				
i) Current Assets				
ii) Loans & Advances				
<i>D) less: Current Liabilities and Provisions</i>				
i) Current Liabilities				
ii) Provisions				
<i>E) Net Current Assets</i>				
<i>F) Miscellaneous Expenditure to the extent not written</i>				
TOTAL APPLICATION OF FUNDS				

Name of Transmission Licensee

Cash Flow Statement

Form No: 53

All figures in Rs. Crores

		Particulars	PY Actual	CY Estimated	EY Projection
I	1	Net Funds from Operations:			
		A. Net Funds from Earnings:			
		Profit before Tax			
		Less:			
		Subsidy and Grants			
		Income Tax payment during the year			
		Total of A			
		B. ADD: Debts to Revenue Account not requiring Cash Outflow			
		(i) Depreciation			
		(ii) Amortisation of Deferred Cost			
		(iii) Amortisation of Intangible Assets			
		(iv) Investment Allowance Reserve			
		(v) Others, if any			
		Total of B			
		C. LESS: Credits to Revenue Account not involving Cash Receipts.			
		(i) Depreciation			
		(ii)			
		Total of C			
		Net Funds from Earnings (A+B-C)			
2		Contributions, Grants and Subsidies towards Cost of Capital Assets			
3		Security Deposit from consumers			
4		Proceeds from disposal of Fixed Assets			
5		Total Funds from Operations (1+2+3+4)			
6		Net Increase/(Decrease) in Working Capital			
		A. Increase/(Decrease) in Current Assets			
		a) Inventories			
		b) Receivables against sale of power			
		c) Loans and Advances			
		d) Sundry Receivables			
		Total of A			
		B. Increase/(Decrease) in Current Liabilities.			
		a) Borrowings for working capital			
		b) Other Current Liabilities - Power purchase			
		- Others			
		Total of B			
		Net Increase/(Decrease) in Working Capital (A - B)			
7		Net Funds from Operations before Subsidies & Grants (5-6)			
8		Receipts from Revenue Subsidies and Grants			
Total I		Net Funds from Operations including Subsidies & Grants (7+8)			
II		Net Increase/(Decrease) in Capital Liabilities:			
		A. Fresh Borrowings:			
		(a) State Loans			
		(b) Foreign currency Loans/Credits			
		(c) Other Borrowings			
		Total of A			
		B. Repayments			
		Repayment of Principal			
		(a) State Loans			
		(b) Foreign currency Loans/Credits			
		(c) Other Borrowings			
		Total of B			
Total II		Net Increase/(Decrease) in Capital Liabilities (A - B)			
III		Increase/(Decrease) in Equity Capital			
IV		Total Funds available for Capital Expenditure (I+II+III)			
V		Funds Utilised on Capital Expenditure:			
		(a) On Projects			
		(b) Released Assets returned to works			
		(c) Intangible Assets			
		(d) Deferred Costs			
		Total of V			
VI		Net Increase/(Decrease) in Investments			
VII		Net Increase/(Decrease) in Cash & Bank Balance (IV - V - VI)			
VIII		Add Opening Cash & Bank balances			
IX		Closing Cash & Bank Balances (VII+VIII)			

Name of Transmission LicenseeAnnual Revenue Requirement

Form No: S4

All figures in Rs Crores

		Particulars	PY Actual	CY Estimated	EY Projection
I.		Power Purchase or Energy Available (MU)			
II.		Sale of Power (MU)			
III.		Loss %			
IV.		Transmission Cost per unit (Rs/U)			
V.	1	Receipts			
	a	Revenue from tariffs & Miscell. Charges			
	b	Subsidy from government			
		Total			
VI.	2	Expenditure			
	a	SLDC Fees & Charges			
	b	R&M Expense			
	c	Employee Expenses			
	d	A&G Expense			
	e	Depreciation			
	f	Interest & Finance Charges			
	g	Less: Interest & other expenses capitalised			
	h	Other Debits (incl. Prov for Bad debts)			
	i	Extraordinary Items			
	j	Other (Misc.)-net prior period credit			
		Total			
VII.	3	Reasonable Return			
VIII.	4	Other Income & Disinvestment			
IX.	5	Annual Revenue Requirement (2)+(3)-(4)			
X.	6	Surplus(+) / Shortfall(-) : (1)-(5) before tariff revision			
XI.	7	Tariff Revision Impact			
XII.	8	Surplus(+) / Shortfall(-) : (6)-(7) after tariff revision			

Name of Transmission Licensee

Reasonable Return

Form No: S5

All figures in Rs Crores

	Particulars		PY	CY	EY
			Actual	Estimated	Projection
	For Example:				
	Shareholders' Funds				
1	Share Capital				
2	Reserves and Surplus				
	Total Equity				
	Return as a % of Equity (14%)				

Name of Transmission LicenseeProjection of Sales, Customers & Connected load

Form No: F1a

A) Projection of sales

							PY	CY	EY
	In Million Units	Actual	Actual	Actual	Actual	Actual	Provisional	Estimate	Projection
	Category	FY (n-5)	FY (n-4)	FY (n-3)	FY (n-2)	FY (n-1)	FY (n)	FY (n+1)	FY (n+2)
1	Licensee								
2	CPP wheeling								
3	HT consumer wheeling								
4	Other States energy wheeling								
	TOTAL								

B) Projection of Number of Customers

							PY	CY	EY
		Actual	Actual	Actual	Actual	Actual	Provisional	Estimate	Projection
	Category	FY (n-5)	FY (n-4)	FY (n-3)	FY (n-2)	FY (n-1)	FY (n)	FY (n+1)	FY (n+2)
1	Licensee								
2	CPP wheeling								
3	HT consumer wheeling								
4	Other States energy wheeling								
	TOTAL								

Name of Transmission Licensee

Income from investments and other non-tariff income

Form No: F2

	Particulars		Previous Year	Current Year	Ensuing Year
	Figure in Rs Crore		Provisional	Estimate	Projection
A	Income from Investment, Fixed & Call Deposits				
	1 Interest Income from Investments				
	2 Interest on fixed deposits				
	3 Interest from Banks other than Fixed Deposits				
	4 Interest on (any other items)				
	Sub-Total				
B	Non Tariff Income				
	1 Interest on loans and Advances to staff				
	2 Interest on Loans and Advances to Licensee				
	3 Interest on Loans and Advances to Lessors				
	4 Interest on Advances to Suppliers / Contractors				
	5 Income from Trading (other than Electricity)				
	6 Gain on Sale of Fixed Assets				
	7 Income/Fee/Collection against staff welfare activities				
	8 Miscellaneous receipts				
	9 Delayed payment charges from consumers				
	10 Meter Rent				
	11 Misc. charges as per Schedule of General and Service Charges as applicable from consumers				
	12 Income from Other Business				
	13 Income from Disinvestment (if any)				
	Sub-Total				
	Total				

Name of Transmission LicenseeRepair & Maintenance Expenditure

Form No: F4

Sl.No.	Particulars		PY	CY	EY
	Figure in Rs Crore		Provisional	Estimate	Projection
1	Plant and Machinery				
2	Building				
3	Civil Works				
4	Hydraulic Works				
5	Lines, Cables Net Works etc.				
6	Vehicles				
7	Furniture and Fixtures				
8	Office Equipments				
9	Station Supplies				
10	Spare Inventory for maintaining Transformer redundancy				
11	Sub station maintenance by private agencies				
12	Any other items (Capitalisation)				
	Total				

Name of Transmission Licensee

Form No: F5

Employee Cost and Provisions

	Particulars	PY	CY	EY
		Provisional	Esti	Proj
A	Employee Strength - Licensee			
	Working Strength At The Beginning Of The Year			
	Employee Category			
1	Member			
2	Chief Engineer			
3	Superintendent Engineer			
4	Executive Engineer			
5	...			
	Total			
	Sanctioned Strength At The Beginning Of The Year			
	Employee Category			
1	Member			
2	Chief Engineer			
3	Superintendent Engineer			
4	Executive Engineer			
5	...			
	Total			
B	Employee's Cost			
1	Salaries			
2	Dearness Allowance			
3	Other Allowances & Relief			
a	Allowances details			
b				
c				
d				
4	Medical Expenses Reimbursement			
5	Leave Travel Assistance			
6	Fee & Honorarium			
7	Incentives/Awards Including That In Partnership Project (Specify			
8	Earned Leave Encashment			
9	Tuition Fee Re-Imbursement			
10	Leave Salary Contribution			
11	Payment Under Workman'S Compensation And Gratuity			
12	Subsidised Electricity To Employees			
13	Staff Welfare Expenses			
C	Apprentice And Other Training Expenses			
D	Payment/Contribution To PF Staff Pension And Gratuity			
1	Terminal Benefits			
	a) Provident Fund Contribution			
	b) Provision for PF Fund - Invested			
	Not Invested			
	c) Pension Payments			
	d) Gratuity Payment			
2	Any Other Items			
	Total D			
E	Bonus/Ex-gratia To Employees			
F	Grand Total			
G	Chargeable To Construction Works			
	Balance Item 'F' Appropriate For (F)-(G)			
	Relevant Indices Of Wages Increase (As At The Beginning & End Of The Year)			
	WPI			
	CPI			
	D.A Rate			

Name of Transmission Licensee

Employee Cost and Provisions

Form No: F5a

	Particulars	PY	CY	EY
A	Wing Wise Details - FAO, Civil, Head Office, etc	Prov	Esti	Proj
B	Employee's Cost			
1	Salaries			
2	Dearness Allowance			
3	Other Allowances & Relief			
a	Allowances details			
4	Medical Expenses Reimbursement			
5	Leave Travel Assistance			
6	Fee & Honorarium			
7	Incentives/Awards Including That In Partnership Project (Specify Items)			
8	Earned Leave Encashment			
9	Tution Fee Re-Imbursement			
10	Leave Salary Contribution			
11	Payment Under Workman'S Compensation And Gratuity			
12	Subsidised Electricity To Employees			
13	Staff Welfare Expenses			
C	Apprentice And Other Training Expenses			
D	Payment/Contribution To PF Staff Pension And Gratuity			
1	Terminal Benefits			
a)	Provident Fund Contribution			
b)	Provision for PF Fund			
c)	Pension Payments			
d)	Gratuity Payment			
2	Any Other Items			
	Total D			
E	Bonus/Exgratia To Employees			
F	Grand Total			
G	Chargeable To Construction Works			
	Balance Item 'F' Apropriate For (F)-(G)			
	Relevant Indices Of Wages Increase (As At The Beginning & End Of The Year)			
	WPI			
	CPI			
	D.A Rate			

Form No: F5b

Retirement Pattern

[illegible]

Name of Transmission Licensee

Administration & General Expenses

Form No: F6

S.No.	Particulars	Previous Year	Current Year	Ensuing Year
	In Rs Crores	Provisional	Estimate	Projection
A)	Administration Expenses			
1	Rent rates and taxes (Other than all taxes on income and profit)			
2	Insurance of employees, assets, Legal insurance			
3	Revenue Stamp Expenses Account			
4	Telephone, Postage, Telegram, Internet Charges			
5	Incentive & Award To Employees/Outsiders			
6	Consultancy Charges			
7	Technical Fees			
8	Other Professional Charges			
9	Conveyance And Travel (vehicle hiring, running)			
10	HPERC License fee			
11	Plant And Machinery			
12	Security / Service Charges Paid To Outside Agencies			
13	Regulatory Expenses			
14	Ombudsman Expenses			
	Sub-Total of Administrative Expenses			
B)	Other Charges			
1	Fee And Subscriptions Books And Periodicals			
2	Printing And Stationery			
	Advertisement Expenses (Other Than Purchase Related)			
3	Exhibition & Demo.			
4	Contributions/Donations To Outside Institute / Association			
5	Electricity Charges To Offices			
6	Water Charges			
7	Any Study - As per requirements			
8	Miscellaneous Expenses			
9	Public Interaction Program			
10	Any Other expenses			
	Sub-Total of other charges			
C)	Legal Charges			
D)	Auditor'S Fee			
E)	Freight - Material Related Expenses			
F)	Direction And Supervision Charges			
G)	Total Charges			
H)	Total Charges Chargeable To Capital Works			
I)	Total Charges Chargeable to Revenue Expenses			

Value of Transmission LicenseeFixed Assets and Provision for Depreciation

Form No: F7

			Previous Year										
			Gross Fixed Assets				Provision For Depreciation				Net Fixed Assets		
Sl.No	Particulars		At Beginning of Year	Addition During Year	Adjustments & Deduction	At End Of Year	Rate of Depreciation	At Beginning of Year	Addition During Year	Adjust-ments & Deduction	At End Of Year	At The beginning of Year	At the End Of Year
1	Land & Land rights												
2	Building and Civil Works												
	Others 1												
	Others 2												
	Others 3												
	Sub-Total												
3	Line Cable Networks etc.												
	Towers, poles, insulators, overhead conductors, devices												
	Transformers												
	Switchgear, Control gear & Protection												
	Wires												
	Other												
4	Communication equipment												
5	Meters												
6	Vehicles												
7	Furniture & fixtures												
8	Office Equipments												
9	Assets taken over & pending final valuation												
	And other items												
	Total (1 to 10)												

Name of Transmission Licensee

Interest & Finance Charges

Form No: F8

	Particulars	Previous Year	Current Year	Ensuing Year
	In Rs Crores	Actual	Projected	Projected
A	I			
	Interest and Finance Charges on Long Term Loans / Credits from the FIs/banks/organisations approved by the State Government			
	1 LIC			
	2 REC			
	3 PFC			
	4 Bonds			
	5 Bank/FIIs			
	6 APDRP			
	7 Any Other			
	Total of I			
	II Interest on Working Capital Loans Or Short Term Loans			
	Total of A : I + II			
B	Other Interest & Finance Charges			
	1 Cost of raising Finance & Bank Charges etc.			
	2 Interest on Security Deposit			
	3 Penal Interest Charges			
	4 Lease Rentals			
	5 Penalty charges for delayed payment for power purchase			
	Total of B			
C	Grand Total Of Interest & Finance Charges: A + B			
D	Less: Interest & Finance Charges Chargeable to Capital Account			
E	Net Total Of Interest & Finance Charges : For Revenue Account: C D			

Name of Transmission LicenseeDomestic loans, bonds and financial leasing

Form No: F8a

Sl. No.	Particulars	Opening Balance at the beginning of the year				Amount received during the year	Principal repaid		Interest			Closing Balance
		Principal not overdue	Principal overdue	Interest overdue	Total		Due	Paid	Due	Paid	%	
	In Rs Crores											
	Financial Year*											
A	LONG-TERM											
1	LIC											
2	RBC											
3	PPC											
4	Bonds											
5	Bank											
6	APDRP											
7	Any Other											
8												
B	SHORT-TERM											
	Total											

*Note:- Loanwise information to be provided for Previous Year, Current Year & Ensuing Year

Name of Transmission Licensee

Details of Expenses Capitalised

Form No: F9

All figures in Rs Crores

Sl.No.	Particulars		PY	CY	EY
			Actual	Estimated	Projection
	PROJECT WISE				
1	Interest & Finance charges Capitalised				
2	Other expenses capitalised:				
	a. Employee expenses				
	b. R&M Expenses				
	c. A&G Expenses				
	Total of 2				
	Grand Total				

Debits, Write-offs and any other items**Form No: F10**

All figures in Rs Crores

Sl.No	Particulars	PY	CY	EY
		Actual	Estimated	Projection
1	Material Cost Variance (Audit Reports)			
2	Miscellaneous Losses And Write Off			
3	Bad Debt Written Off/Provided For			
4	Cost Of Trading & Manufacturing Activities			
5	Net Prior Period Credit/Charges			
	Sub-Total			
7	Less Chargeable To Capital Expense			
	Net Chargeable To Revenue			

Note

- 1 Action taken report on the material variance and responsibility fixed .

Name of Transmission LicenseeStatement of Sundry Debtors and provision for Bad & Doubtful Debts

Form No: F11

All figures in Rs Crores

Sl.No.	Particulars	PY Actual	CY Estimated	EY Projection
1	Receivable from customers as at the beginning of the year			
2	Revenue billed for the year			
3	Collection for the year			
	Against current dues			
	Against arrears upto previous year			
4	Gross receivable from customers as at the end of the year			
5	Receivables against customers no longer availing services			
6	Receivables(4-5)			
7	% of provision			
8	Provision for bad and doubtful debts			

Name of Transmission LicenseeExtraordinary Items

Form No: F12

Sl.No.	Particulars	PY	CY	EY
		Actual	Estimated	Projection
1	Extraordinary Credits (incl.			
	subsidies against losses due to natural disasters			
	TOTAL CREDITS			
2	Extraordinary Debits (incl.			
	subsidies against losses due to natural disasters			
	TOTAL DEBITS			
	Grand Total			

Name of Transmission LicenseeNet Prior Period Expenses / Income

Form No: F13

SL.No.	Particulars	PY	CY	EY
		Actual	Estimated	Projection
A	Income relating to previous years:			
1	Interest income for prior periods			
2	Income Tax prior period			
3	Excess Provision for Depreciation			
4	Excess Provision for Interest and Fin. Charges			
5	Receipts from consumers			
6	Other Excess Provision			
7	Others Income			
	Sub-Total A			
B	Expenditure relating to previous years			
1	R&M Expenses			
2	Employee Cost			
3	Depreciation			
4	Interest and Finance Charges			
5	Admn. Expenses			
6	Withdrawal of Revenue Demand			
7	Material Related			
8	Other			
	Sub-Total B			
	Net prior period Credit/(Charges) : A-B			

Form No: F14

Contributions, Grants and subsidies towards Cost of Capital Assets

Sl No	Particulars	Previous Year			Current Year		Ensuing Year	
		Balance at the beginning of the year	Additions during the Year	Balance at the end of the Year	Additions during the Year	Balance at the end of the Year	Additions during the Year	Balance at the end of the Year
1	Consumer Contribution Towards Cost Of Capital Assets HT							
2	Subsidies Towards Cost Of Capital							
3	Grant Towards Cost Of Capital Assets							
	Total							

Value of Transmission Losses

Project-wise Scheme-wise Capital Expenditure (New Projects & CWIP)

Form No. F15

[illegible]

Note :- Information to be provided for Previous Year, Current Year & Estimate Year

1. THE STATE OF TEXAS

Name of Transmission Licensee

Statement of Assets Not in Use

Form No: F16

All figures in Rs Crores

	Financial Year*				
Sl. No.	Date of Acquisition/Installation	Historical Cost/Cost of Acquisition	Date of withdrawal operations	Accumulated Depreciation on date of withdrawal	Written down value on date of withdrawal

*Note:- Information to be provided for Previous Year, Current Year & Ensuing Year

***Note:- Information to be provided for Previous Year, Current Year & Ensuing Year**

Name of Transmission LicenseeCurrent Assets & Liabilities

Form No: F18

All figures in Rs Crores

Sl.No	Particulars	PY Actual	CY Estimated	EY Projection
A	Current Assets, Loans and Advances			
	Sundry Debtors			
	Inventories			
	Cash and Bank Balances			
	Loans and Advances			
B	Current Liabilities and Provisions			
	Current Liabilities			
	Provisions			
C	NET CURRENT ASSETS (= A - B)			

Name of Transmission LicenseeIncome Tax Provisions

Form No: F19

All figures in Rs Crores

Sl.	Particulars	PY	CY	EY
	In Rs Crores	Actual	Estimated	Projection
1	Provision Made/Proposed For The Year			
2	As Per Return Filed For The Years			
3	As Assessed For The Years			
4	Credit/Debit Of Assessment Year(s) (Give Details)			
	Total			

Name of Transmission LicenseeExisting Tariff Schedule

Form No: T1a

EXISTING TARIFFS					
Sl. No.	User Type	Monthly Fixed Charge per Connection (Rs.)	Monthly Capacity Charge (Rs/KVA)	Grid Support Charges (Rs/KVA)	Transmission Tariff (Rs/MW/month)
1	Licensee				
2	CPP wheeling				
3	HT consumer wheeling				
4	Other States energy wheeling				

Form No: T12

Sl. No.	User Type	PROPOSED TARIFFS			
		Monthly Fixed Charge per Connection (Rs.)	Monthly Capacity Charge (Rs/KVA)	Grid Support Charges (Rs/KVA)	Transmission Tariff (Rs/MW/month)
1	Licensee				
2	CPP wheeling				
3	HT consumer wheeling				
4	Other States energy wheeling				

Revenue from Current Tariffs in Ensuing Year

Sl.No.	User Type	No. of consumers	Energy Wheeled (MU)	Maximum Demand (KVA)	Monthly Fixed Charge per Connection (Rs.)	Monthly Capacity Charge (Rs/KVA)	Grid Support Charges (Rs/KVA)	Transmission Tariff (Rs/MW/ month)
1	Licensee							
2	CPP wheeling							
3	HT consumer wheeling							
4	Other States energy wheeling							
	Total							

1

[illegible]

Name of Transmission Licensee

Revenue from Proposed Tariffs in Ensuing Year

Sl.No.	User Type	No. of consumers	Energy Wheeled (MU)	Maximum Demand (KVA)	Monthly Fixed Charge per Connection (Rs.)	Monthly Capacity Charge (Rs/KVA)	Grid Support Charges (Rs/KVA)	Transmission Tariff (Rs/MW/month)
1	Licensee							
2	CPP wheeling							
3	HT consumer wheeling							
4	Other States energy wheeling							
	Total							

Name of Transmission LicenseeLosses in the system

Form No: P1

All Figures in MU

		Particulars	PY	CY	EY	Remarks
			Actual	Estimated	Projection	
A		Losses in EHT System (400 kV)				
	1	Energy received into the system				
	2	Energy sold at this voltage level				
	3	Energy transmitted to the next (lower) voltage level				
	4	Energy Lost				
	5	Total Loss in the system (1-3)/1				
B		Losses in EHT System (220 kV)				
	1	Energy received into the system				
	2	Energy sold at this voltage level				
	3	Energy transmitted to the next (lower) voltage level				
	4	Energy Lost				
	5	Total Loss in the system (1-3)/1				
C		Losses in EHT System (132 kV)				
	1	Energy received into the system				
	2	Energy sold at this voltage level				
	3	Energy transmitted to the next (lower) voltage level				
	4	Energy Lost				
	5	Total Loss in the system (1-3)/1				
D		Losses in EHT System (66 kV)				
	1	Energy received into the system				
	2	Energy sold at this voltage level				
	3	Energy transmitted to the next (lower) voltage level				
	4	Energy Lost				
	5	Total Loss in the system (1-3)/1				
E		Overall Losses				
	1	Energy In (A1)				
	2	Energy Out (A2+B2+C2+D2)				
	3	Total T&D Loss ((1-2)/1)*100%				

Name of Transmission Licensee

Voltage Profile

Form No: P3

Sl.No	Particulars	First six months of		Last six months of		For the CY		Corrective measures proposed
	Substation wise*	Percentage of time		Percentage of time		Percentage of time		
	At 220/132/66 kv side of transformer	when voltage was		when voltage was		when voltage was		
	(incoming point of 220 kv/132kv/66KV bus	below	above	below	above	below	above	
	Include all feeders	(9%)	(6%)	(9%)	(6%)	(9%)	(6%)	
1								
2								
3								
4								
5								
6								
7								
8								
9								
10								
11								
12								
13								
14								
15								

* Not The information may be furnished for five selected critical EHV substations.

Name of Transmission LicenseeFrequency Excursion

Form No: P4

S.No	Particulars	First six months of the PY		Last six months of the PY		For the CY		Reasons For Failure
		< 48.5 Hz	> 50.5 Hz	< 48.5 Hz	> 50.5 Hz	< 48.5 Hz	> 50.5 Hz	
1	April							
2	May							
3	June							
4	July							
5	August							
6	September							
7	October							
8	November							
9	December							
10	January							
11	February							
12	March							
	Total							

Form No: P7

Major System Disturbances (Grid Disturbances)

Sl.No.	Particulars	PY	CY
1	Number of disturbances (Major Grid Collapse)		
2	Total Duration of Disturbances		
3	Estimated unserved energy due to such interruption		
4	Number of occasions when State system was isolated from the Regional Grid due to system disturbance affecting power supply in the State.		
5	Number of occasions when system remained stable after having being isolated from the Regional Grid due to system disturbance.		
6	Remedial measures to prevent grid System disturbance.		

Name of Transmission Licensee

Electrical Accidents.

[illegible]

Name of Transmission LicenseePeak Demand in MW

Form No: P9

Sl.No.						Previous Year	Current Year	Ensuing Year
		Actual	Actual	Actual	Actual	Provisional	Estimate	Projection
		FY (n-5)	FY (n-4)	FY (n-3)	FY (n-2)	FY (n-1)	FY (n)	FY (n+1)
1	Peak Period							
	a) Winter							
	b) Summer							
	c) Other							
2	Maximum Peak Demand							
	a) Restricted							
	b) Unrestricted							
3	Peak Availability Assessed By Transco							
4	Shortfall in meeting Peak Demand							
	a) Restricted							
	b) Unrestricted							

Notes:- Details of Peak Demand and Load Rostering may be provided along with this format i.e., in terms of MW, MU and number of hours per day.

2005/09/30
3405

Name of Transmission Licensee

Key Ratios

Form No: P10

S. No	Particulars	PY Actual	CY Estimated
A	Financial & Material Management		
1	Annual capital expenditure: net book value		
2	Transmission cost Energy sales across voltage categories		
3	Employee cost as a percentage of total cost		
4	Operating expenses / Revenue from Sale of power		
5	Cost of capital		
6	Debt Service Coverage Ratio		
7	Stores Inventory/1000 Km of Transmission lines		
9	Working Capital to Revenue from Transmission of power		
B	HR Management		
1	Employees per 1000 customers		
2	Employee cost per unit of transmitted energy		
3	Training participation days per employee		
D	Operational Performance		
1	Unplanned outage: total outage (Fault breakdown / total outage)		
	Annual replacement rate of Power transformers (%): (Power Transformers replaced		
2	/ Transformers in service)		

NAME OF DISTRIBUTION LICENSEE

Annexure-3

FORMATS FOR ARR & TARIFF FILING BY DISTRIBUTION LICENSEES

Summary Formats			
1	Sheet	S1	Profit & Loss Account
2	Sheet	S2	Balance Sheet
3	Sheet	S3	Cash flow statement
4	Sheet	S4	Annual Revenue Requirement
5	Sheet	S5	Reasonable Return
6	Sheet	S6	Expenditure Allocation into Fixed, Variable & Other Costs
7	Sheet	S7	Energy Balance
8	Sheet	S8	Truing Up
Financial Formats			
9	Sheet	F1	Revenue from Tariff and Charges
10	Sheet	F1a	Projection of sales, consumers & connected load
11	Sheet	F2	Revenue Grants & subsidies
12	Sheet	F3	Other income
13	Sheet	F4	Summary of HP Own Generation & Power Purchase
14	Sheet	F4a	Power purchase Details
15	Sheet	F4b	Intra State Transmission (TRANSCO) Charges
16	Sheet	F5	R&M Expenses
17	Sheet	F6	Employees' Cost & Provisions
18	Sheet	F6a	Employee Cost Wing Wise
19	Sheet	F6b	Retirement Pattern
20	Sheet	F7	Administration & General Expenses
21	Sheet	F8	Statement of Fixed Assets and Depreciation
22	Sheet	F9	Interest & Finance charges
23	Sheet	F9a	Domestic loans, bonds and financial leasing
24	Sheet	F10	Details of Expenses Capitalised
25	Sheet	F11	Other Debts
26	Sheet	F12	Statement of Sundry Debtors & provision for bad & doubtful debtors
27	Sheet	F13	Extraordinary Items
28	Sheet	F14	Net Prior Period Expenses/Income
29	Sheet	F15	Contribution Grants & subsidies towards Capital assets
30	Sheet	F16	Project-wise / Scheme-wise Capital Expenditure
31	Sheet	F17	Statements of assets not in use
32	Sheet	F18	Investments
33	Sheet	F19	Current Assets and Liabilities
Tariff Formats			
34	Sheet	T1	Existing & Proposed Tariff
35	Sheet	T2	Revenue from Current Tariffs in Ensuing Year
36	Sheet	T3	Revenue from Proposed Tariffs in Ensuing Year
Performance Formats			
37	Sheet	P1	Revenue Arrears and Age-wise Analysis
38	Sheet	P1a	Revenue Arrears of Government and Age-wise Analysis
39	Sheet	P2	T&D Losses in LT and HT System
40	Sheet	P2a	Losses in 33 KV system
41	Sheet	P2b	Losses in 22 KV system
42	Sheet	P2c	Losses in 11 KV system
43	Sheet	P2d	Losses in LT system
44	Sheet	P3	Consumer Complaint
45	Sheet	P4	Voltage Profile
46	Sheet	P5	Technical Parameters
47	Sheet	P6	Abstract of outages due to tripping in HT feeders
48	Sheet	P7	Failure of Transformers
49	Sheet	P8	Billing Periodicity
50	Sheet	P9	Electrical Accidents
51	Sheet	P10	Peak Demand
52	Sheet	P11	Release of Customer bills
53	Sheet	P12	Key Ratios
54	Sheet	P13	Debt Restructuring
55	Sheet	P14	Release of New service Connections
56	Sheet	P15	Status of Metering
Instructions for the Utility:			
1	Electronic copy in the form of C/D Floppy Disc shall also be furnished		
2	These formats are indicative in nature and the utility may align the line items to its chart of accounts		
PY	Previous Year		
CY	Current Year		
EY	Ensuing Year		

Profit & Loss Account		Name of Distribution Licensee		Form No: S1	
				All figures in Rs Crores	
	Particulars		PY	CY	EY
			Actual	Estimated	Projection
A	Revenue				
1	Revenue from sale of power				
2	Other Non-tariff income				
3	Revenue subsidies				
	Total Revenue or Income				
B	Expenditure				
1	Purchase of Power from HP Own Stations				
2	Purchase of Power from Other Sources				
3	Intra-State Transmission Charges				
4	Repairs and Maintenance				
5	Employee costs				
6	Administration and General expenses				
7	Net prior period credit charges				
8	Other Debits, Write-offs				
9	Extraordinary items				
11	Less: Expenses Capitalized				
C	PBDIT				
D	Depreciation and Related debits				
E	PBIT				
1	Interest & Finance Charges				
2	Less: Interest Capitalized				
F	Total Interest and Finance Charges				
G	TOTAL EXPENDITURE				
H	Profit/Loss before Tax				
I	Income Tax				
J	Profit/Loss after Tax				

Cash Flow Statement		Name of Distribution Licensee	Form No: S3 All figures in Rs Crores		
	Particulars	PY Actual	CY Estimated	EY Projection	
I	Net Funds from Operations:				
1	A. Net Funds from Earnings:				
	Profit before Tax				
	Less:				
	Subsidy and Grants				
	Income Tax payment during the year				
	Total of A	-			
	B. ADD: Debits to Revenue Account not requiring Cash Outflow:				
	(i) Depreciation				
	(ii) Amortisation of Deferred Cost				
	(iii) Amortisation of Intangible Assets				
	(iv) Investment Allowance Reserve				
	(v) Others, if any				
	Total of B	-			
	C. LESS: Credits to Revenue Account not involving Cash Receipts:				
	(i) Depreciation				
	(ii)				
	Total of C	-			
	Net Funds from Earnings (A+B-C)	-			
2	Contributions, Grants and Subsidies towards Cost of Capital Assets				
3	Security Deposit from consumers				
4	Proceeds from disposal of Fixed Assets				
5	Total Funds from Operations (1+2+3+4)	-			
6	Net Increase/(Decrease) in Working Capital				
	A. Increase/(Decrease) in Current Assets				
	a) Inventories				
	b) Receivables against sale of power				
	c) Loans and Advances				
	d) Sundry Receivables				
	Total of A	-			
	B. Increase/(Decrease) in Current Liabilities:				
	a) Borrowings for working capital				
	b) Other Current liabilities - Power purchase				
	- Others				
	Total of B	-			
	Net Increase/(Decrease) in Working Capital (A - B)	-			
7	Net Funds from Operations before Subsidies & Grants (5-6)	-			
8	Receipts from Revenue Subsidies and Grants				
Total I	Net Funds from Operations including Subsidies & Grants (7+8)	-			
II	Net Increase/(Decrease) in Capital Liabilities:				
	A. Fresh Borrowings				
	(a) State Loans				
	(b) Foreign currency Loans/Credits				
	(c) Other Borrowings				
	Total of A	-			
	B. Repayments:				
	Repayment of Principal				
	(a) State Loans				
	(b) Foreign currency Loans/Credits				
	(c) Other Borrowings				
	Total of B	-			
Total II	Net Increase/(Decrease) in Capital Liabilities (A - B)	-			
III	Increase/(Decrease) in Equity Capital				
IV	Total Funds available for Capital Expenditure (I+II+III)	-			
V	Funds Utilised on Capital Expenditure:				
	(a) On Projects				
	(b) Released Assets reissued to works				
	(c) Intangible Assets				
	(d) Deferred Costs				
	Total of V	-			
VI	Net Increase/(Decrease) in Investments				
VII	Net Increase/(Decrease) in Cash & Bank Balance (IV - V + VI)	-			
VIII	Add Opening Cash & Bank balances				
IX	Closing Cash & Bank Balances (VII+VIII)	-			

Name of Distribution Licensee		Form No: S4		
Annual Revenue Requirement		All figures in Rs Crores		
Particulars	PY	CY	EY	
	Actual	Estimated	Projection	
Power Purchase or Energy Available (MU)				
Sale of Power (MU)				
Loss %				
1 Receipts				
a Revenue from tariffs & Miscell. Charges				
b Subsidy from Govt.				
Total				
2 Expenditure				
a Purchase of Power from Own Stations				
b Purchase of Power from Other Sources				
c Intra-State Transmission Charges				
d R&M Expense				
e Employee Expenses				
f A&G Expense				
g Depreciation				
h Interest & Finance Charges				
i Less: Interest & other expenses capitalised				
j Other Debits (incl. Prov for Bad debts)				
k Extraordinary Items				
l Other (Misc.)-net prior period credit				
Total				
3 Reasonable Return				
4 Other Income				
5 Annual Revenue Requirement (2)+(3)-(4)				
6 Surplus(+) / Shortfall(-) : (1)-(5)				
before tariff revision				
7 Tariff Revision Impact				
8 Surplus(+) / Shortfall(-) : (6)-(7)				
after tariff revision				

<u>Reasonable Return</u>		<u>Name of Distribution Licensee</u>			Form No: S5
		All figures in Rs Crores			
Particulars		PY	CY	EY	
		Actual	Estimated	Projection	
For Example:					
Shareholders' Funds					
1/Share Capital					
2/Reserves and Surplus					
Total Equity					
Return as a % of Equity (16%)					

<u>Name of Distribution Licensee</u>			
Energy Balance		Form No: S7	
Particulars	PY	CY	EY
	MU	MU	MU
1 Purchase of Power			
Power from Own Stations			
Power from Other Sources			
Total Power Available for Sale or Energy Input			
2 Energy Sales within the state			
a) LT Sales			
b) HT Sales			
c) EHT Sales			
Total Energy Sales			
3 Distribution Loss			
4 Intra State Transmission Loss			
5 Net Energy Requirement for sale in state			
6 Energy available for inter state sale			
7 Inter State Transmission Loss			
8 Tradable Power			

Name of Distribution Licensee

Truing Up

Form No: S8

All figures in Rs Crores

	Particulars	Approved	Actual
	Power Purchase or Energy Available (MU)		
	Sale of Power (MU)		
	Loss %		
1	Receipts		
a	Revenue from tariffs & Miscell. Charges		
b	Subsidy from Govt.		
	Total		
2	Expenditure		
a	Purchase of Power from Own Stations		
b	Purchase of Power from Other Sources		
c	Intra-State Transmission Charges		
d	R&M Expense		
e	Employee Expenses		
f	A&G Expense		
g	Depreciation		
h	Interest & Finance Charges		
i	Less: Interest & other expenses capitalised		
j	Other Debits (incl. Prov for Bad debts)		
k	Extraordinary Items		
l	Other (Misc.)-net prior period credit		
	Total		
3	Reasonable Return		
4	Other Income		
5	Annual Revenue Requirement (2)+(3)-(4)		
6	Surplus(+)/ Shortfall(-) : (1)-(5) before tariff revision		
7	Tariff Revision Impact		
8	Surplus(+)/ Shortfall(-) : (6)-(7)after tariff revision		

Revenue from Tariff & Charges		Name of Distribution Licensee		Form No: F1							
a) Revenue from tariffs											
Particulars	Consumers	Load connected	Unit sold	Rev from fixed charges	Rev from variable charges	Previous Year (Actual)					
						Total revenue (excluding ED, Cess)	Realization rate (excluding ED, Cess)	Collection against rev. demand (excluding ED, Cess)	Collection Efficiency	Load Factor	Demand factor
	No	(In kW)	(In Mwh)	(Rs. m Cr.)	(Rs. m Cr.)	(Rs. m Cr.)	(P/U)	(Rs. In Cr.)	(%)		
1.1 Consumers											
1.1.1 Ambedkar Domestic											
2. Domestic											
0 - 45											
46 - 150											
151 & above											
2.1 NDACS											
0 - 20 kW											
20 - 100 kW											
3. Commercial Supply											
0 - 20 kW											
20 - 100 kW											
Above 100 kW											
4. Small & Medium Industrial Power Supply											
0 - 20 kW											
Above 20 kW											
5. LT - Agriculture Pumping Supply											
6. LT - Water (& Irrigation) Pumping Supply											
7. Street Lighting Supply											
8. Bulk Supply											
9. Temporary Supply											
Sub Total											
11. HT Consumers											
11.1 HT - NDACS											
0 - 20 kW											
20 - 100 kW											
11.2 HT Commercial Supply											
0 - 20 kW											
20 - 100 kW											
Above 100 kW											
11.3 HT - Large Power Supply											
11.4 HT - Water (& Irrigation) Pumping Supply											
11.5 HT - Bulk Supply											
Sub Total											
12. EHT Consumers											
12.1 EHT - Large Power Supply											
Sub Total											
Grand Total											
b) Revenue from other charges											
						Previous Year (Actual)				Form No: F1	
Particulars	Revenue	Cost	Profit	Revenue	Cost	Profit	Revenue	Cost	Profit	Revenue	Cost
LT											
HT											
EHT											
Total											

Note: This format should be filled as per the existing tariff & charges schedule.

[illegible]

Name of Distribution Licensee					
Revenue & Capital Subsidies			Form No: F2		
	Particulars		PY	CY	EY
	In Rs Crores		Provisional	Estimate	Projection
A	Revenue Subsidies And Grants				
1					
2					
3					
	Sub-Total				
B	Capital Subsidies And Grants				
1					
2					
3					
	Sub-Total				
	Total				
	Actual Flow of Funds		PY	CY	EY
	In Rs Crores		Provisional	Estimate	Projection
1	Balance Subsidy for Previous years Receivable				
2	Subsidy Due for the year				
3	Total Subsidy Receivable				
4	Cash				
5	ED Adjustment/Retained				
6	Cess Adjustment/Retained				
7	Central Assistance Adjustment				
8	Adjustment of Penal intt on ED & Cess				
9	Adjustment of Past Loans				
10	Recievds as Adjustment from State Govt.				
11	Adjustment of Interst on Loan				
12	Total Subsidy Received				
13	Balance Subsidy Receivable: (3)-(12)				

Name of Distribution Licensee		Form No: F3		
Income from investments and Non-Tariff Income				
Particulars	PY	CY	EY	
Figure in Rs Crore	Provisional	Estimate	Projection	
A	Income from Investment, Fixed & Call Deposits			
1	Interest Income from Investments			
2	Interest on fixed deposits			
3	Interest from Banks other than Fixed Deposits			
4	Interest on (any other items)			
	Sub-Total			
B	Non Tariff Income			
1	Interest on loans and Advances to staff			
2	Interest on Loans and Advances to Licensee			
3	Interest on Loans and Advances to Lessors			
4	Interest on Advances to Suppliers / Contractors			
5	Income from Trading (other than Electricity)			
6	Gain on Sale of Fixed Assets			
7	Income/Fee/Collection against staff welfare activities			
8	Miscellaneous receipts			
9	Delayed payment charges from consumers			
10	Meter Rent			
11	Recovery from theft of energy			
12	Wheeling charges			
13	PLEC & PLVC Charges			
14	Misc. charges from consumers			
15	Surcharge on Non Payment of subsidy by Govt			
16	Surcharge for LVSS			
17	Income from Other Business			
18	Contract Demand Violation Charges			
19	Harmonic Injection Violation Penalty			
20	Disturbing Load Penalty			
21	Less: Rebate for supply at higher voltage			
	Sub-Total			
Total				
Note: A report on Harmonics should also be enclosed				

Form No: F4

[illegible]

Name of Distribution Licensee				
Repair & Maintenance Expenditure			Form No: F5	
Sl. No	Particulars	PY	CY	EY
	Figure in Rs Crore	Provisional	Estimate	Projection
1	Plant and Machinery			
2	Building			
3	Civil Works			
4	Hydraulic Works			
5	Lines, Cables Net Works etc.			
6	Vehicles			
7	Furniture and Fixtures			
8	Office Equipments			
9	Spare Inventory for maintaining Transformer redundancy			
10	Sub station maintenance by private agencies			
11	Any other items (Capitalisation)			
	Total			

Employee Cost and Provisions		Name of Distribution Licensee		Form No: F6	
	Particulars	PY	CY	EY	
		Provisional	Estimate	Projection	
A	Employee Strength				
	Working Strength At The Beginning Of The Year				
	Employee Category				
1	Member				
2	Chief Engineer				
3	Superintendent Engineer				
4	Executive Engineer				
5					
	Total				
	Sanctioned Strength At The Beginning Of The Year				
	Employee Category				
1	Member				
2	Chief Engineer				
3	Superintendent Engineer				
4	Executive Engineer				
5					
	Total				
B	Employee's Cost				
1	Salaries				
2	Dearness Allowance				
3	Other Allowances & Relief				
a	Allowance details				
b					
c					
d					
4	Medical Expenses Reimbursement				
5	Leave Travel Assistance				
6	Fee & Honorarium				
7	Incentives/Awards Including That In Partnership Project (Specify Items)				
8	Earned Leave Encashment				
9	Tuition Fee Re-Imbursement				
10	Leave Salary Contribution				
11	Payment Under Workman's Compensation And Gratuity				
12	Subsidised Electricity To Employees				
13	Staff Welfare Expenses				
C	Apprentice And Other Training Expenses				
D	Payment/Contribution To PF Staff Pension And Gratuity				
1	Terminal Benefits				
a	Provident Fund Contribution				
b	Provision for PF Fund - Invested				
	Not Invested				
c	Pension Payments				
d	Gratuity Payment				
e	Leave Encashment Payment				
2	Any Other Items				
	Total D				
E	Bonus/Ex-gratia To Employees				
F	Grand Total				
G	Chargeable To Construction Works				
	Balance Item 'F' Appropriate For (F)-(G)				
	Relevant Indices Of Wages Increase (As At The Beginning & End Of The Year)				
	WPI				
	CPI				
	D A Rate				

Name of Distribution Licensee

Employee Cost and Provisions

Form No: F6a

	Particulars	PV	CY	EY
A	Wing Wise Details - FAO, Civil, Head Office, etc	Prov	Esti	Proj
B	Employee's Cost			
1	Salaries			
2	Dearness Allowance			
3	Other Allowances & Relief			
a	Allowances details			
4	Medical Expenses Reimbursement			
5	Leave Travel Assistance			
6	Fee & Honorarium			
7	Incentives/Awards Including That In Partnership Project (Specify Items)			
8	Earned Leave Encashment			
9	Tuition Fee Re-Imbursement			
10	Leave Salary Contribution			
11	Payment Under Workman'S Compensation And Gratuity			
12	Subsidised Electricity To Employees			
13	Staff Welfare Expenses			
C	Apprentice And Other Training Expenses			
D	Payment/Contribution To PF Staff Pension And Gratuity			
1	Terminal Benefits			
a)	Provident Fund Contribution			
b)	Provision for PF Fund - Invested			
	Not Invested			
c)	Pension Payments			
d)	Gratuity Payment			
2	Any Other Items			
	Total D			
E	Bonus Exgratia To Employees			
F	Grand Total			
G	Chargeable To Construction Works			
	Balance Item 'F' Appropriate For (F)-(G)			
	Relevant Indices Of Wages Increase (As At The Beginning & End Of The Year)			
	WPI			
	CPI			
	D A Rate			

Name of Distribution Licensee

Form No: F7

Administration & General Expenses

S.No.	Particulars	PY	CY	EY
	In Rs Crores	Provisional	Estimate	Projection
A)	Administration Expenses			
1	Rent rates and taxes (Other than all taxes on income and profit)			
2	Insurance of employees, assets, legal liability			
3	Revenue Stamp Expenses Account			
4	Telephone, Postage, Telegram, Internet Charges			
5	Incentive & Award To Employees/Outsiders			
6	Consultancy Charges			
7	Technical Fees			
8	Other Professional Charges			
9	Conveyance And Travel (vehicle hiring, running)			
10	HPERC License fee			
11	Plant And Machinery			
12	Security / Service Charges Paid To Outside Agencies			
13	Regulatory Expenses			
14	Ombudsman Expenses			
15	Consumer Forum			
	Sub-Total of Administrative Expenses			
B)	Other Charges			
1	Fee And Subscriptions Books And Periodicals			
2	Printing And Stationery			
	Advertisement Expenses (Other Than Purchase Related) Exhibition &			
3	Demo.			
4	Contributions/Donations To Outside Institute / Association			
5	Electricity Charges To Offices			
6	Water Charges			
7	Any Study - As per requirements			
8	Miscellaneous Expenses			
9	Public Interaction Program			
10	Any Other expenses			
	Sub-Total of other charges			
C)	Legal Charges			
D)	Auditor'S Fee			
E)	Frighht - Material Related Expenses			
F)	Departmental Charges			
G)	Total Charges			
H)	Total Charges Chargeable To Capital Works			
I)	Total Charges Chargeable to Revenue Expenses			

Fixed Assets and Provision for Depreciation												
Name of Distribution Licensee												
Form No: PB												
Previous Year												
Gross Fixed Assets												
Provision For Depreciation												
Net Fixed Assets												
Sl.No	Particulars	At Beginning of Year	Addition During Year	Adjustments & Deduction	At End Of Year	Rate of Depreciation	At Beginning of Year	Addition During Year	Adjustments & Deduction	At End Of Year	At The beginning of Year	At the End Of Year
1	Land & Land rights											
2	Building and Civil Works											
	Others 1											
	Others 2											
	Others 3											
	Sub-Total											
3	Line Cable Networks etc.											
	Towers, poles, fixtures, overhead conductors, devices											
	Transformers											
	Switchgears, Control gear & Protection											
	Batteries											
	Others											
4	Communication equipment											
5	Meters											
6	Vehicles											
7	Furniture & fixtures											
8	Office Equipments											
9	Assets taken over & pending final valuation											
10	Any other items											
	Total (1 to 10)											
Note												
1/Provide for fair value of assets if assessed												

Current Year

[illegible]

		Name of Distribution Licensee		Form No: F9	
Interest & Finance Charges					
		Particulars	Previous Year	Current Year	Ensuing Year
		In Rs Crores	Actual	Projected	Projected
A	I	Interest and Finance Charges on Long Term Loans / Credits from the FIs/banks/organisations approved by the State Government			
		1 LIC			
		2 REC			
		3 PFC			
		4 Bonds			
		5 Bank/FIIs			
		6 APDRP			
		7 Any Other			
		Total of I			
	II	Interest on Working Capital Loans Or Short Term Loans			
		Total of A : I + II			
B		Other Interest & Finance Charges			
		1 Cost of raising Finance & Bank Charges etc.			
		2 Interest on Security Deposit			
		3 Penal Interest Charges			
		4 Lease Rentals			
		5 Penalty charges for delayed payment for power purchase			
		Total of B			
C		Grand Total Of Interest & Finance Charges: A + B			
D		Less: Interest & Finance Charges Chargeable to Capital Account			
E		Net Total Of Interest & Finance Charges : For Revenue Account: C-D			

		Name of Distribution Licensee				Form No: F9a						
Domestic loans, bonds and financial leasing												
Sl. No.	Particulars	Opening Balance at the beginning of the year				Amount received during the year	Principal repayment		Interest			Closing Balance
	In Rs Crores	Principal not overdue	Principal overdue	Interest overdue	Total		Due	Paid	Due	Paid	%	
	Financial Year*											
A	LONG-TERM											
1	LIC											
2	REC											
3	PFC											
4	Bonds											
5	Bank											
6	APDRP											
7	Any Other											
8												
B	SHORT-TERM											
	Total											
*Note:- Loanwise information to be provided for Previous Year, Current Year & Ensuing Year												

Name of Distribution Licensee					
Details of Expenses Capitalised			Form No: F10		
All figures in Rs Crores					
Sl.No.	Particulars		PY	CY	EY
			Actual	Estimated	Projection
1	Interest & Finance charges Capitalised				
2	Other expenses capitalised:				
	a. Employee expenses				
	b. R&M Expenses				
	c. A&G Expenses				
	d. Depreciation				
	e. Others, if any				
	Total of 2				
	Grand Total				

<u>Name of Distribution Licensee</u>					
<u>Debits, Write-offs and any other items</u>			Form No: F11		
All figures in Rs Crores					
SLNo	Particulars		PY	CY	EY
			Actual	Estimated	Projection
1	Material Cost Variance				
2	Miscellaneous Losses And Write Off				
3	Bad Debt Written Off/Provided For				
4	Cost Of Trading & Manufacturing Activities				
5	Net Prior Period Credit/Charges				
6	Sub-Total				
7	Less Chargeable To Capital Expense				
	Net Chargeable To Revenue				

<u>Name of Distribution Licensee</u>				Form No: F12
<u>Statement of Sundry Debtors and provision for Bad & Doubtful Debts</u>				All figures in Rs Crores
SLNo.	Particulars	PY Actual	CY Estimated	EY Projection
1	Receivable from customers as at the beginning of the year			
2	Revenue billed for the year			
3	Collection for the year			
	Against current dues			
	Against arrears upto previous year			
4	Gross receivable from customers as at the end of the year			
5	Receivables against permanently disconnected consumers			
6	Receivables(4-5)			
7	% of provision			
8	Provision for bad and doubtful debts			
Note: The details in Sundry debtors in MU should also be enclosed				

<u>Name of Distribution Licensee</u>				
<u>Extraordinary Items</u>				Form No: F13
Sl.No.	Particulars	PY	CY	EY
		Actual	Estimated	Projection
1	Extraordinary Credits (incl.			
	subsidies against losses due to natural disasters			
	TOTAL CREDITS			
2	Extraordinary Debits (incl.			
	subsidies against losses due to natural disasters			
	TOTAL DEBITS			
	Grand Total			

Net Prior Period Expenses / Income		Name of Distribution Licensee			Form No: F14
Sl.No.	Particulars	PY	CY	EY	
		Actual	Estimated	Projection	
A	Income relating to previous years:				
1	Interest income for prior periods				
2	Income Tax prior period				
3	Excess Provision for Depreciation				
4	Excess Provision for Interest and Fin. Charges				
5	Receipts from consumers				
6	Other Excess Provision				
7	Others Income				
	Sub-Total A				
B	Expenditure relating to previous years				
1	Power Purchase				
2	Operating Expenses				
3	Excise Duty on generation				
4	Employee Cost				
5	Depreciation				
6	Interest and Finance Charges				
7	Admn. Expenses				
8	Withdrawal of Revenue Demand				
9	Material Related				
10	Other				
	Sub-Total B				
	Net prior period Credit/(Charges) : A-B				

Name of Distribution LicenseeContributions, Grants and subsidies towards Cost of Capital Assets

Form No: F15

Sl	Particulars	Previous Year		Current Year		Ensuing Year		
		Balance at the beginning of the year	Additions during the Year	Balance at the end of the Year	Additions during the Year	Balance at the end of the Year	Additions during the Year	Balance at the end of the Year
1	Consumer Contribution Towards Cost Of Capital Assets HT							
	Consumer Contribution For Good Quality Meters For EHT							
2	Industries							
3	Sub-Total							
4	Subsidies Towards Cost Of Capital Asset							
5	Grant Towards Cost Of Capital Assets							
6	Sub-Total							
	Total							

Name of Distribution LicenseeCurrent Assets & Liabilities

Form No: F19

Sl.No	Particulars	All figures in Rs Crores		
		PY	CY	EY
		Actual	Estimated	Projection
A	Current Assets, Loans and Advances			
	Sundry Debtors			
	Inventories			
	Cash and Bank Balances			
	Loans and Advances			
B	Current Liabilities and Provisions			
	Current Liabilities			
	Provisions			
C	NET CURRENT ASSETS (= A - B)			

		Name of Distribution Licensee			Form No: T1		
		Existing Component of tariff					
Category	Energy Charges			Consumer Service Charges	Demand Charges		
	Normal	Peak	Night		Normal	PLEC	PLVC
	(Rs/kWh)			Rs.con /mth.	(Rs/ kVA/M)	(Rs/kWh)	(Rs/kWh)
1	2	3	4	5	6	7	8
LT Consumers							
Antodaya Domestic							
Domestic							
0 - 45							
46 - 150							
151 & above							
NDNCS							
0 - 20 kW							
20 - 100 kW							
Commercial Supply							
0 - 20 kW							
20 - 100 kW							
Above 100 kW							
Small & Medium Industrial Power Supply							
0 - 20 kW							
Above 20 kW							
LT - Agriculture Pumping Supply							
LT - Water (& Irrigation) Pumping Supply							
Street Lighting Supply							
Bulk Supply							
Temporary Supply							
Sub Total							
HT Consumers							
HT - NDNCS							
0 - 20 kW							
20 - 100 kW							
HT Commercial Supply							
0 - 20 kW							
20 - 100 kW							
Above 100 kW							
HT - Large Power Supply							
HT - Water (& Irrigation) Pumping Supply							
HT - Bulk Supply							
Sub Total							
EHT Consumers							
EHT - Large Power Supply							
Sub Total							
Grand Total							

Proposed Component of tariff							
Category	Energy Charges			Consumer Service Charges	Demand Charges		
	Normal	Peak	Night		Normal	PLEC	PLVC
	(Rs/kWh)			Rs/con./mth.	(Rs/kVA/M)	(Rs.kWh)	(Rs/kWh)
1	2	3	4	5	6	7	8
L.T Consumers							
Autodaya Domestic							
Domestic							
0 - 45							
46 - 150							
151 & above							
NDNCS							
0 - 20 kW							
20 - 100 kW							
Commercial Supply							
0 - 20 kW							
20 - 100 kW							
Above 100 kW							
Small & Medium Industrial Power Supply							
0 - 20 kW							
Above 20 kW							
LT - Agriculture Pumping Supply							
LT - Water (& Irrigation) Pumping Supply							
Street Lighting Supply							
Bulk Supply							
Temporary Supply							
Sub Total							
HT Consumers							
HT - NDNCS							
0 - 20 kW							
20 - 100 kW							
HT Commercial Supply							
0 - 20 kW							
20 - 100 kW							
Above 100 kW							
HT - Large Power Supply							
HT - Water (& Irrigation) Pumping Supply							
HT - Bulk Supply							
Sub Total							
EHT Consumers							
EHT - Large Power Supply							
Sub Total							
Grand Total							

Revenue from Current Tariffs in Ensuing Year							
SLN o.	Tariff Category	No. of consumers	Consumption- Slabwise (MU)	Contract Demand/Connect ed Load (KW /KVA /HP)	Energy Charge (Rs/KWh)	Monthly Demand Charge (Rs/KVA)	Monthly Customer Charge per Connection (Rs.)
I	LT Consumers						
1	Antodaya Domestic						
2	Domestic						
	0 - 45						
	46 - 150						
	151 & above						
2	NDNCS						
	0 - 20 kW						
	20 - 100 kW						
3	Commercial Supply						
	0 - 20 kW						
	20 - 100 kW						
	Above 100 kW						
4	Small & Medium Industrial Power Supply						
	0 - 20 kW						
	Above 20 kW						
5	LT - Agriculture Pumping Supply						
6	LT - Water (& Irrigation) Pumping Supply						
7	Street Lighting Supply						
8	Bulk Supply						
9	Temporary Supply						
	Sub Total						
II	HT Consumers						
1	HT - NDNCS						
	0 - 20 kW						
	20 - 100 kW						
2	HT Commercial Supply						
	0 - 20 kW						
	20 - 100 kW						
	Above 100 kW						
3	HT - Large Power Supply						
4	HT - Water (& Irrigation) Pumping Supply						
5	HT - Bulk Supply						
	Sub Total						
III	HT Consumers						
1	EHT - Large Power Supply						
	Sub Total						
	Grand Total						

[illegible]

		Name of Distribution Licensee						
Revenue from Proposed Tariffs in Enging Year								
Sl. No.	Tariff Category	No. of cons	Consumption-Slabwise (MU)	Sanct. Load Slabwise (KW/KVA/HP)	Slab Details	Energy Charge (Rs/KWh)	Monthly Demand Charge (Rs/KVA)	Monthly Customer Charge per Connection (Rs.)
I	LT Consumers							
1	Antodaya Domestic							
2	Domestic							
	0 - 45							
	46 - 150							
	151 & above							
2	NDNCS							
	0 - 20 kW							
	20 - 100 kW							
3	Commercial Supply							
	0 - 20 kW							
	20 - 100 kW							
	Above 100 kW							
4	Small & Medium industrial Power Supply							
	0 - 20 kW							
	Above 20 kW							
5	LT - Agriculture Pumping Supply							
6	LT - Water (& Irrigation) Pumping Supply							
7	Street Lighting Supply							
8	Bulk Supply							
9	Temporary Supply							
	Sub Total							
II	HT Consumers							
1	HT - NDNCS							
	0 - 20 kW							
	20 - 100 kW							
2	HT Commercial Supply							
	0 - 20 kW							
	20 - 100 kW							
	Above 100 kW							
3	HT - Large Power Supply							
4	HT - Water (& Irrigation) Pumping Supply							
5	HT - Bulk Supply							
	Sub Total							
III	EHT Consumers							
1	EHT - Large Power Supply							
	Sub Total							
	Grand Total							

Name of Distribution Licensee											
Revenue Arrears of Government/ Local Bodies and Age-wise Analysis							Form No: P1				
Details to be provided for PY, CY											
S.N	Particulars	Arrears at the beginning of the year	Billed during the year	Realised during the year	Arrears at the end of the year	Percent age increase (+) Decrease	Age-wise Analysis (Months)				
0.							Upto 6 Months	6-12 Months	12-24 Months	24-36 Months	More than 3 years
1	Sale of power to the Government departments										
2	Electricity duty										
3	Provision of unbilled revenue										
4	Dues from permanently disconnected consumers having arrears more than 10 lacs										
5	Dues from permanently disconnected consumers having arrears less than 10 lacs										
6	Miscellaneous receipts from Govt.										
7	Less provision for doubtful dues from Govt.										
8	Total (1+2+3+4+5+6-7)										
9	Details of litigation/disputes having dues more than Rs. 10 lacs										
10	Steps proposed to be taken to improve collection and to reduce the revenue arrears										
	Note: Formats should be separately provided for Government and Local Bodies										

NOTES:

T&D Losses in LT and HT system		Name of Distribution Licensee			Form No: P2
Particulars		PY	CY	EY	All Figures in MU
		Actual	Estimated	Projection	Remarks
A	System Losses At 33 KV				
1	Energy received into the system				
2	Energy sold at this voltage level				
3	Energy transmitted to the next (lower) voltage level				
4	Energy Lost				
5	Total Loss in the system $(4/1)*100\%$				
B	System Losses At 22 KV				
1	Energy received into the system				
2	Energy sold at this voltage level				
3	Energy transmitted to the next (lower) voltage level				
4	Energy Lost				
5	Total Loss in the system $(4/1)*100\%$				
C	Losses At 11 KV				
1	Energy received into the system				
2	Energy sold at this voltage level				
3	Energy transmitted to the next (lower) voltage level				
4	Energy Lost				
5	Total Loss in the system $(4/1)*100\%$				
D	LT System Losses				
1	Energy received into the system				
2	Energy sold at this voltage level				
3	Energy Lost				
4	Total Loss in the system $(3/1)*100\%$				
E	Overall Losses				
1	Energy In (A1)				
2	Energy Out (A2+B2+C2+D2+E2))				
3	Total T&D Loss $((1-2)/1)*100\%$				
	Note: The Circle/Division wise losses have also to be provided				

Name of Distribution Licensee						
Losses in 33 kV						Form No: P2a
SL.No.		Particulars		PY	CY	EY
			Units	Actual	Estimated	Projection
1	Energy In	System Energy Delivered into 33 kV Distribution System from EHT SSs And Other Generators	MU			
2	Energy Out	Energy Sold By HT Direct Sales at 33 kV	MU			
3	Energy Out	Energy Diverted into 22 kV, 15 kV, 11 kV And LT System	MU			
4	Distribution Loss	Energy In - Energy Output	MU			
			%			

Name of Distribution Licensee						
Losses in 22 kV						Form No: P2b
SL.No.		Particulars		PY	CY	EY
			Units	Actual	Estimated	Projection
1	Energy In	System Energy Delivered into 22 kV Distribution System from EHT SSs And Other Generators	MU			
2	Energy Out	Energy Sold By HT Direct Sales at 22 kV	MU			
3	Energy Out	Energy Diverted into 11 kV And LT System	MU			
4	Distribution Loss	Energy In - Energy Output	MU			
			%			

Name of Distribution Licensee						
Losses in 11 kV			Form No: P2c			
	Particulars		PY	CY	EY	
		Units	Actual	Estimated	Projection	
1	Energy In	Energy Delivered into 11 kV	MU			
	Energy Delivered into 11 kV From EHT S/s And Other Sources	MU				
	Sub Total 1	MU				
2	Energy Out	Energy Sold By HT Direct Sales at 11 kV	MU			
	Energy Diverted into LT System 11 kV SSs	MU				
	Sub Total 2	MU				
3	Distribution Loss	Sub Total 1 - Sub Total 2	MU			
		%				

Name of Distribution Licensee						
Losses in LT System			Form No: P2d			
	Particulars		PY	CY	EY	
		Units	Actual	Estimated	Projection	
1	Energy In	Energy Delivered into LT Distribution System From 11/0.4 kV S/s	MU			
	Energy Delivered into LT Distribution System From HT S/s And Other Sources	MU				
	Sub Total 1	MU				
2	Energy Out	Energy Sold in LT System	MU			
	Sub Total 2	MU				
3	Distribution Loss	Sub Total 1 - Sub Total 2	MU			
		%				

Name of Distribution LicenseeConsumer Complaint

Form No: P3

SL.NO.	TYPE OF COMPLAINT	NO. OF COMPLAINTS RECEIVED DURING			
		1st.Six months	2nd.Six months	1st.Six months	2nd.Six months
		of previous year	of previous year	of current year	of current year
1	Interruption due to problem in LT supply (Fuse off call at aerial cutouts/Sealable cutouts.snapping of wires.falling of trees on overhead lines,fire due to short circuit of LT lines consequent to loose spans and touching of tree branches)				
2	Problems in metering and meter reading (Delay in replacement of non-recording meters. replacement of burnt out meter. replacement of meters recording excess consumption due to creeping. breakage of seals provided to the meter. mistakes in totaling by the MR while issuing the bills, wrong notin				
3	Errors in billing (Excessive billing. amount already paid by consumer shown as arrears. wrong application of tariff, posting of wrong initial reading, showing the short claims in the monthly bill without furnishing the details to the consumer. wrong postings, i.e. postings				
4	Any other				

Name of Distribution Licensee

Voltage Profile

Form No: P4

SL.No.	Particulars	First six months of the PY		Last six months of the PY		For the CY		Corrective
	Substation wise	Percentage of time		Percentage of time		Percentage of time		measures proposed
	At 33 kv side of transformer	when voltage was		when voltage was		when voltage was		
	(incoming point of 33 kv bus)	below	above	below	above	below	above	
	Include all feeders	(9%)	(6%)	(9%)	(6%)	(9%)	(6%)	
1								
2								
3								
4								
5								
6								
7								
8								
9								
10								
11								
12								
13								
14								
15								

		Name of Distribution Licensee				
Technical Parameters						Form No: P5
Sl. No	Period	Pre-PY	PY		CY	Remarks
I	Line Length					
	LT Line Length					
	HT Line Length					
II	No of Distribution Transformers					
	Single Phase					
1	Rating Wise					
2						
3						
	Three Phase					
1	Rating Wise					
2						
3						

[illegible]

		Name of Distribution Licensee			
Failure of Transformers				Form No: P7	
S.No	Particulars	Previous Year		Current Year	
		Number	%	Number	%
1	EHT Transformer	Auto Transformer			
		Power Transformer			
2	Power Transformer (HT)				
3	Distribution Transformers				
Note - Provide the number of total and type of transformers					

		Name of Distribution Licensee			
Billing Details - Periodicity of bill payment		Form No: P8			
1	Category Wise consumers	Monthly	Bi Monthly	Quarterly	Half Yearly
Note	Security Deposit Regulations of HPERC, 2005				

		Name of Distribution Licensee									
Electrical Accidents										Form No. P9	
Sl.No	Particulars	Previous Year				Current Year				Corrective Measures Proposed In The Ensuing Year to Avoid Accidents	
	Feeder & S/S details	Fatal		Non-Fatal		Fatal		Non-Fatal			
		Human	Animal	Human	Total	Human	Animal	Human	Total		
	1 HV/LV (Distribution)										
	Total										

		Name of Distribution Licensee					Form No. P10		
Peak Demand in MW									
Sl.No.		Actual	Actual	Actual	Actual	Actual	Previous Year	Current Year	Estimating Year
		FY (n-5)	FY (n-4)	FY (n-3)	FY (n-2)	FY (n-1)	FY (n)	FY (n+1)	FY (n+2)
	1 Peak Period								
	a) Winter								
	b) Summer								
	c) Other								
	2 Maximum Peak Demand								
	a) Restricted								
	b) Unrestricted								
	3 Peak Availability Assessed By Transco								
	4 Shortfall in meeting Peak Demand								
	a) Restricted								
	b) Unrestricted								
Notes - Details of Load Rostering may be provided along with this format i.e., in terms of MW, MU and number of hours per day.									

		Name of Distribution Licensee			
Release of Customer Bills				Form No: P11	
Details should be provided Circle-wise					
S. No	Particulars	PY	CY	EY	
		Units	Actual	Estimated	Projection
1	Determine The Time It Takes				
	a) In Taking The Meter Reading	Days			
	b) Editing Of Data	Days			
	c) Preparation Of Bill	Days			
	d) Printing Of Bill And Date Of Issue Of Bill	Days			
	e) From Issue Date To Delivery To Consumer	Days			
	f) From Delivery Of Bill To Customer To The Due Date Of Bill	Days			
2.a.	Number of consumers making payment:				
	a) Within The Due Date	%			
	b) After The Due Date	%			
2.b.	Disconnection Of The Consumers				
	a) Defaulters	No			
	b) Actually Disconnected	No			
	c) % Defaulters Disconnected	%			
3	Checking				
	a) % of Counters By AE / JE	%			
	b) % of Bills By AE / JE / RA / Officials	%			
4	Bills That Are Disputed By The Customer to Total Bills Per Month per Division	%			
5	Number Of Meters Found Defective Per Month	%			
6	Tampered Meters that Are Identified Per month.	No			
7	Average Time Taken To				
	a) Replace Defective/Disputed Meters	Days			
	b) Replace The Tampered Meters Identified	Days			
	c) Prepare And Deliver Supplementary Bills In Respect of Above	Days			
8	% Of Installed Meters That Are Tested Per Month.	%			
9	Average Time Taken For Connection Of Disconnected Meter After Payment Of Dues/Charges	%			

Name of Distribution Licensee

Key Ratios

Form No: P12

S. No	Particulars	PY Actual	CY Estimated
A	Financial & Material Management		
1	Annual capital expenditure/net book value		
2	Distribution cost/Energy sales across consumer categories		
3	Employee cost as a percentage of total cost		
4	Operating expenses / Revenue from Sale of power		
5	Cost of capital		
6	Debt Service Coverage Ratio		
7	Stores Inventory/1000 Km of distribution lines		
9	Working Capital to Revenue from Sale of power		
B	HR Management		
1	Employees per 1000 customers		
2	Employee cost per unit of retail sales		
3	Training participation days per employee		
C	Metering, Billing and Collection		
	Number of Meters per Meter Reader		
	a) Urban		
1	b) Rural		
	Number of meter readings done per meter reader per month		
	a) Urban		
2	b) Rural		
3	Meters defective & damaged / Meters in service		
4	Average level of customer arrears (days/ customer)		
5	Amount (%) involved in customer litigation cases		
6	Number (%) involved in customer litigation cases		
7	Number of computerized bills/Number of manual bills		
D	Operational Performance		
1	Unplanned outage/total outage (Fault breakdown / total		
	Annual replacement rate of Distribution transformers (%):		
2	(Distribution Transformers replaced / Transformers in service)		
E	Consumer Services		
1	No. of complaint received per year to total number of		
	Ratio of staff attending complaints per thousand consumers		
2	(Skilled /Unskilled staff separately identified)		

		Name of Distribution Licensee					
Debt Restructuring		Form No: P13					
S. No	Long Term Loans / Credits from the FIs/banks/ organisations approved by the State Government	Cost of Debt before restruct	Interest Burden before rest.	Cost of Debt after restr.	Interest Burden after rest.	Benefit (2-4)	
		1	2	3	4	5	
I							
1	LIC						
2	REC						
3	PFC						
4	Bonds						
5	Bank/FIs						
6	APDRP						
7	Any Other						
	Total of I						
II	Interest on Working Capital Loans Or Short Term Loans						
1							
2							
3							

Name of Distribution Licensee							
Release of New service Connections				Form No: P14			
Pre-PY		PY		CY		Remarks	
Period	No of service Connections provided with in 30 days of valid requisition for power supply	No of service Connections provided after 30 days of valid requisition for power supply	No of service Connections provided with in 30 days of valid requisition for power supply	No of service Connections provided after 30 days of valid requisition for power supply	No of service Connections provided with in 30 days of valid requisition for power supply	No of service Connections provided after 30 days of valid requisition for power supply	Action Proposed to be taken for providing service connections in time
April							
May							
June							
July							
August							
September							
October							
November							
December							
January							
February							
March							
Total							

[illegible]

NAME OF TRADING LICENSEE

INDEX OF REGULATORY COMPLIANCE FORMATS FOR ARR & TARIFF FILING BY TRADING LICENCEES

	Summary Formats		
1	Sheet	S1	Profit & Loss Account
2	Sheet	S2	Balance Sheet
3	Sheet	S3	Cash flow statement
4	Sheet	S4	Trading Margin

Instructions for the Trading Licensee:

- 1) Electronic copy in the form of CD/ Floppy Disc shall also be furnished
- 2) These formats are indicative in nature and the utility may align the line items to its chart of accounts

PY Previous Year

CY Current Year

EY Ensuing Year

Profit & Loss Account		Name of Trading Licensee		Form No: S1 All figures in Rs Crores		
	Particulars	Ref. Form No.	PY Actual	CY Estimated	EY Projection	
A	Revenue					
1	Revenue from Trading					
	Total Revenue or Income					
B	Expenditure					
1	Purchase of Power from identified sources					
2	SLDC Charges					
3	Transmission Charges					
4	Employee costs					
5	Administration and General expenses					
6	Net prior period credit charges					
7	Other Debits, Write-offs					
8	Extraordinary items					
9	Less: Expenses Capitalized					
C	PBDIT					
D	Depreciation and Related debits					
E	PBIT					
1	Interest & Finance Charges					
2	Less: Interest Capitalized					
F	Total Interest and Finance Charges					
G	TOTAL EXPENDITURE					
H	Profit/Loss before Tax					
I	Income Tax					
J	Profit/Loss after Tax					

Balance Sheet		Name of Trading Licensee		Form No: S2 All figures in Rs Crores	
Particulars	Ref. Form No.	PV Actual	CY Estimated	EY Projection	
I. SOURCES OF FUNDS					
<i>A) Shareholders' Funds</i>					
a) Share Capital					
b) Reserves and Surplus					
<i>B) Special Appropriation towards Project Cost</i>					
<i>C) Loan Funds</i>					
a) Secured Loans					
b) Unsecured Loans					
<i>D) Other sources of Funds</i>					
a) Capital contributions from consumers					
b) Consumers' Security Deposits					
TOTAL SOURCES OF FUNDS					
II. APPLICATION OF FUNDS					
<i>A) Fixed Assets</i>					
a) Gross Block					
b) less: Accumulated Depreciation					
c) Net Block					
d) Capital Work in Progress					
e) less: Amount written off till date					
<i>B) Investments</i>					
<i>C) Current Assets, Loans and Advances</i>					
i) Current Assets					
ii) Loans & Advances					
<i>D) less: Current Liabilities and Provisions</i>					
i) Current Liabilities					
ii) Provisions					
<i>E) Net Current Assets</i>					
<i>F) Miscellaneous Expenditure to the extent not written</i>					
TOTAL APPLICATION OF FUNDS					

Cash Flow State Statement		Name of Trading Licensee	Form No: S3 All figures in Rs Crores			
		Particulars	Ref. Form No.	PY Actual	CY Estimated	EY Projection
I		Net Funds from Operations:				
1		A. Net Funds from Earnings:				
		Profit before Tax				
		Less:				
		Subsidy and Grants				
		Income Tax payment during the year				
		Total of A		-		
		Outflow:				
		(i) Depreciation				
		(ii) Amortisation of Deferred Cost				
		(iii) Amortisation of Intangible Assets				
		(iv) Investment Allowance Reserve				
		(v) Others, if any.				
		Total of B		-		
		C I FSS: Credits to Revenue Account not involving Cash Receipts:				
		(i) Depreciation				
		(ii)				
		Total of C		-		
		Net Funds from Earnings (A+B-C)		-		
2		Contributions, Grants and Subsidies towards Cost of Capital Assets				
3		Security Deposit from consumers				
4		Proceeds from disposal of Fixed Assets				
5		Total Funds from Operations (1+2+3+4)		-		
6		Net Increase/(Decrease) in Working Capital:				
		A. Increase/(Decrease) in Current Assets:				
		a) Inventories				
		b) Receivables against sale of power				
		c) Loans and Advances				
		d) Sundry Receivables				
		Total of A		-		
		B. Increase/(Decrease) in Current Liabilities:				
		a) Borrowings for working capital				
		b) Other Current liabilities - Power purchase				
		- Others				
		Total of B		-		
		Net Increase/(Decrease) in Working Capital (A - B)		-		
7		Net Funds from Operations before Subsidies & Grants (5-6)				
8		Receipts from Revenue Subsidies and Grants				
Total I		Net Funds from Operations including Subsidies & Grants (7+8)		-		
II		Net Increase/(Decrease) in Capital Liabilities:				
		A. Fresh Borrowings				
		(a) State Loans				
		(b) Foreign currency Loans/Credits				
		(c) Other Borrowings				
		Total of A		-		
		B. Repayments:				
		Repayment of Principal				
		(a) State Loans				
		(b) Foreign currency Loans/Credits				
		(c) Other Borrowings				
		Total of B		-		
Total II		Net Increase/(Decrease) in Capital Liabilities (A - B)		-		
III		Increase/(Decrease) in Equity Capital				
IV		Total Funds available for Capital Expenditure (I+II+III)		-		
V		Funds Utilised on Capital Expenditure:				
		(a) On Projects				
		(b) Released Assets reissued to works				
		(c) Intangible Assets				
		(d) Deferred Costs				
		Total of V		-		
VI		Net Increase/(Decrease) in Investments				
VII		Net Increase/(Decrease) in Cash & Bank Balance (IV - V - VI)		-		
VIII		Add Opening Cash & Bank balances				
IX		Closing Cash & Bank Balances (VII+VIII)		-		

Name of Trading Licensee					
Trading Margin		Form No: S4			
All figures in Rs Crores					
	Particulars	Ref. Form No.	PY	CY	EY
			Actual	Estimated	Projection
	Trading Margin in %				

SLDC

Annexure-5

FORMATS FOR ARR. & REVENUE FILING BY SLDC

Summary Formats			
1	Sheet	S1	Profit & Loss Account
2	Sheet	S2	Balance Sheet
3	Sheet	S3	Cash flow statement
4	Sheet	S4	Annual Revenue Requirement
Financial Formats			
5	Sheet	F1	Revenue from Tariff and Charges
6	Sheet	F2	Project-wise / Scheme-wise Capital Expenditure
7	Sheet	F3	Statements of assets not in use
8	Sheet	F4	Domestic loans, bonds and financial leasing
9	Sheet	F5	R&M Expenses
10	Sheet	F6	Employees' Cost & Provisions
11	Sheet	F7	Administration & General Expenses
12	Sheet	F8	Statement of Fixed Assets and Depreciation
13	Sheet	F9	Interest & Finance charges
14	Sheet	F10	Details of Expenses Capitalised
15	Sheet	F11	Other Debits
16	Sheet	F12	Statement of Sundry Debtors & provision for bad & doubtful debtors
17	Sheet	F13	Extraordinary Items
18	Sheet	F14	Net Prior Period Expenses/Income
Tariff Formats			
8	Sheet	T1a	Existing & Proposed Tariff
Instructions for the Utility:			
1 Electronic copy in the form of CD/ Floppy Disc shall also be furnished			
2 These formats are indicative in nature and the utility may align the line items to its chart of accounts			
	PY	Previous Year	
	CY	Current Year	
	EY	Ensuing Year	

SLDC					
Profit & Loss Account			Form No: S1		
			All figures in Rs Crores		
	Particulars		PV	CY	EY
			Actual	Estimated	Projection
A	Revenue				
1	Revenue from charges				
	Total Revenue or Income				
B	Expenditure				
2	Repairs and Maintenance				
3	Employee costs				
4	Administration and General expenses				
5	Net prior period credit charges				
6	Other Debits, Write-offs				
7	Extraordinary items				
8	Less: Expenses Capitalized				
C	PBDIT				
D	Depreciation and Related debits				
E	PBIT				
1	Interest & Finance Charges				
2	Less: Interest Capitalized				
F	Total Interest and Finance Charges				
G	TOTAL EXPENDITURE				
H	Profit/Loss before Tax				
I	Income Tax				
J	Profit/Loss after Tax				

Balance Sheet			
SLDC			
Form No: S2			
All figures in Rs Crores			
Particulars	PY	CY	EY
	Actual	Estimated	Projection
I. SOURCES OF FUNDS			
A) Shareholders' Funds			
a) Share Capital			
b) Reserves and Surplus			
B) Special Appropriation towards Project Cost			
C) Loan Funds			
a) Secured Loans			
b) Unsecured Loans			
D) Other sources of Funds			
TOTAL SOURCES OF FUNDS			
II. APPLICATION OF FUNDS			
A) Fixed Assets			
a) Gross Block			
b) less: Accumulated Depreciation			
c) Net Block			
d) Capital Work in Progress			
e) less: Amount written off till date			
B) Investments			
C) Current Assets, Loans and Advances			
i) Current Assets			
ii) Loans & Advances			
D) less: Current Liabilities and Provisions			
i) Current Liabilities			
ii) Provisions			
E) Net Current Assets			
F) Miscellaneous Expenditure to the extent not written			
TOTAL APPLICATION OF FUNDS			

SLDC		Form No: S3 All figures in Rs Crores		
Cash Flow Statement		PV	CV	EY
	Particulars	Actual	Estimated	Projection
I	Net Funds from Operations:			
1	A. Net Funds from Earnings:			
	Profit before Tax			
	Less:			
	Income Tax payment during the year			
	Total of A	-		
	B. ADD Debits to Revenue Account not requiring Cash Outflow:			
	(i) Depreciation			
	(ii) Amortisation of Deferred Cost			
	(iii) Amortisation of Intangible Assets			
	(iv) Investment Allowance Reserve			
	(v) Others, if any.			
	Total of B	-		
	C LESS. Credits to Revenue Account not involving Cash Receipts:			
	(i) Depreciation			
	(ii)			
	Total of C	-		
	Net Funds from Earnings (A+B-C)	-		
2	Proceeds from disposal of Fixed Assets			
3	Total Funds from Operations	-		
4	Net Increase/(Decrease) in Working Capital:			
	A. Increase/(Decrease) in Current Assets:			
	a) Inventories			
	b) Receivables			
	c) Loans and Advances			
	Total of A	-		
	B. Increase/(Decrease) in Current Liabilities:			
	a) Borrowings for working capital			
	- Others			
	Total of B	-		
	Net Increase/(Decrease) in Working Capital (A - B)	-		
Total I	Net Funds from Operations			
II	Net Increase/(Decrease) in Capital Liabilities:			
	A. Fresh Borrowings:			
	(a) State Loans			
	(b) Foreign currency Loans/Credits			
	(c) Other Borrowings			
	Total of A	-		
	B. Repayments:			
	Repayment of Principal			
	(a) State Loans			
	(b) Foreign currency Loans/Credits			
	(c) Other Borrowings			
	Total of B	-		
Total II	Net Increase/(Decrease) in Capital Liabilities (A - B)	-		
III	Increase/(Decrease) in Equity Capital			
IV	Total Funds available for Capital Expenditure (I+II+III)	-		
V	Funds Utilised on Capital Expenditure:			
	(a) On Projects			
	(b) Released Assets reissued to works			
	(c) Intangible Assets			
	(d) Deferred Costs			
	Total of V	-		
VI	Net Increase/(Decrease) in Investments			
VII	Net Increase/(Decrease) in Cash & Bank Balance (IV - V - VI)	-		
VIII	Add. Opening Cash & Bank balances			
IX	Closing Cash & Bank Balance (VII+VIII)	-		

SLDC				Form No: S4		
				All figures in Rs Crores		
	Particulars		PY	CY	EY	
			Actual	Estimated	Projection	
1	Receipts					
a	Revenue from tariffs & Miscell. Charges					
	Total					
2	Expenditure					
d	R&M Expense					
e	Employee Expenses					
f	A&G Expense					
g	Depreciation					
h	Interest & Finance Charges					
i	Less: Interest & other expenses capitalised					
j	Other Debits (incl. Prov for Bad debts)					
k	Extraordinary Items					
l	Other (Misc.)-net prior period credit					
	Total					
3	Annual Revenue Requirement					
6	Surplus(+)/ Shortfall(-):					
	before tariff revision					
7	Tariff Revision Impact					
8	Surplus(+)/ Shortfall(-):					
	after tariff revision					

[illegible]

SLDC

Repair & Maintenance Expenditure

Form No: F5

Sl.No.	Particulars	PY	CY	EY
	Figure in Rs Crore	Provisional	Estimate	Projection
1				
2				
3				
4				
5				
6				
7				
8				
9				
10				
11				
12				
	Total			

Employee Cost and Provisions		SLDC	Form No: F6		
	Particulars		PY Provisional	CY Estimate	EY Projection
A	Employee Strength				
	Working Strength At The Beginning Of The Year				
	Employee Category				
1					
2					
3					
4					
	Total				
	Sanctioned Strength At The Beginning Of The Year				
	Employee Category				
1					
2					
3					
4					
	Total				
B	Employee's Cost				
1	Salaries				
2	Dearness Allowance				
3	Other Allowances & Relief				
4	Medical Expenses Reimbursement				
5	Leave Travel Assistance				
6	Fee & Honorarium				
7	Incentives/Awards Including That In Partnership Project (Specify Items)				
8	Earned Leave Encashment				
9	Tuition Fee Re-Imbursement				
10	Leave Salary Contribution				
11	Payment Under Workman'S Compensation And Gratuity				
12	Subsidised Electricity To Employees				
13	Staff Welfare Expenses				
C	Apprentice And Other Training Expenses				
D	Payment/Contribution To PF Staff Pension And Gratuity				
1	Terminal Benefits				
	a) Provident Fund Contribution				
	b) Provision for PF Fund - Invested				
	Not Invested				
	c) Pension Payments				
	d) Gratuity Payment				
	e) Leave Encashment Payment				
2	Any Other Items				
	Total D				
E	Bonus-Exgratia To Employees				
F	Grand Total				
G	Chargeable To Construction Works				
	Balance Item 'F' Appropriate For (F)-(G)				
	Relevant Indices Of Wages Increase (As At The Beginning & End Of The Year)				
	WPI				
	CPI				
	D A Rate				

SLDC				
Administration & General Expenses			Form No: F7	
S.No.	Particulars	Previous Year	Current Year	Ensuing Year
	In Rs Crores	Provisional	Estimate	Projection
A)	Administration Expenses			
1	Rent rates and taxes (Other than all taxes on income and profit)			
2	Insurance of employees, assets, legal liability			
3	Telephone, Postage, Telegram, Internet Charges			
4	Incentive & Award To Employees/Outsiders			
5	Consultancy Charges			
6	Technical Fees			
7	Other Professional Charges			
8	Conveyance And Travel (vehicle hiring, running)			
9	Security / Service Charges Paid To Outside Agencies			
10	Regulatory Expenses			
	Sub-Total of Administrative Expenses			
B)	Other Charges			
1	Fee And Subscriptions Books And Periodicals			
2	Printing And Stationery			
	Advertisement Expenses (Other Than Purchase Related) Exhibition & Demo.			
3				
4	Contributions/Donations To Outside Institute / Association			
5	Electricity Charges To Offices			
6	Water Charges			
7	Any Study - As per requirements			
8	Miscellaneous Expenses			
9	Any Other expenses			
10	Legal Charges			
11	Auditor'S Fee			
12	Freight - Material Related Expenses			
	Total Charges			
	Total Charges Chargeable To Capital Works			
	Total Charges Chargeable to Revenue Expenses			

Form No: F8

[illegible]

Form No: F8

[illegible]

Interest & Finance Charges				SLDC		Form No: F9	
Particulars				Previous Year	Current Year	Ensuing Year	
In Rs Crores				Actual	Projected	Projected	
A	I	Interest and Finance Charges on Long Term Loans / Credits from the FIs/banks/organisations approved by the State Government					
		1 LIC					
		2 REC					
		3 PFC					
		4 Bonds					
		5 Bank/FIs					
		6 APDRP					
		7 Any Other					
		Total of I					
	II	Interest on Working Capital Loans Or Short Term Loans					
		Total of A : I + II					
B		Other Interest & Finance Charges					
		1 Cost of raising Finance & Bank Charges etc.					
		2 Penal Interest Charges					
		3 Lease Rentals					
		4 Penalty charges for delayed payment for power purchase					
		Total of B					
C		Grand Total Of Interest & Finance Charges: A + B					
D		Less: Interest & Finance Charges Chargeable to Capital Account					
E		Net Total Of Interest & Finance Charges : For Revenue Account: C-D					

SLDC				
Details of Expenses Capitalised			Form No: F10	
			All figures in Rs Crores	
Sl.No.	Particulars	PY	CY	EY
		Actual	Estimated	Projection
1	Interest & Finance charges Capitalised			
2	Other expenses capitalised:			
a	Employee expenses			
b	R&M Expenses			
c	A&G Expenses			
e	Others, if any			
	Total of 2			
	Grand Total			

SLDC				
Debits, Write-offs and any other items			Form No: F11	
			All figures in Rs Crores	
Sl.No	Particulars	PY	CY	EY
		Actual	Estimated	Projection
1	Material Cost Variance			
2	Miscellaneous Losses And Write Off			
3	Bad Debt Written Off/Provided For			
4	Net Prior Period Credit/Charges			
	Sub-Total			
5	Less Chargeable To Capital Expense			
	Net Chargeable To Revenue			

<u>SLDC</u>				
<u>Statement of Sundry Debtors and provision for Bad & Doubtful Debts</u>				Form No: F12
(All figures in Rs Crores)				
SL.No.	Particulars	PY	CY	EY
		Actual	Estimated	Projection
1	Receivable from customers as at the beginning of the year			
2	Revenue billed for the year			
3	Collection for the year			
	Against current dues			
	Against arrears upto previous year			
4	Gross receivable from customers as at the end of the year			
5	Receivables			
6	% of provision			
7	Provision for bad and doubtful debts			

<u>SLDC</u>				
<u>Extraordinary Items</u>				Form No: F13
SL.No.	Particulars	PY	CY	EY
		Actual	Estimated	Projection
1	Extraordinary Credits (incl. subsidies against losses due to natural disasters TOTAL CREDITS			
2	Extraordinary Debits (incl. subsidies against losses due to natural disasters TOTAL DEBITS			
	Grand Total			

Net Prior Period Expenses / Income		SLDC			Form No: F14
Sl.No.	Particulars	PY	CY	EY	
		Actual	Estimated	Projection	
A	Income relating to previous years:				
1	Interest income for prior periods				
2	Income Tax prior period				
3	Excess Provision for Depreciation				
4	Excess Provision for Interest and Fin. Charges				
5	Receipts from consumers				
6	Other Excess Provision				
7	Others Income				
	Sub-Total A				
B	Expenditure relating to previous years				
1	Operating Expenses				
2	Employee Cost				
3	Depreciation				
4	Interest and Finance Charges				
5	Admn. Expenses				
6	Withdrawal of Revenue Charges				
7	Material Related				
8	Other				
	Sub-Total B				
	Net prior period Credit/(Charges) : A-B				

SLDC

Form - T

Existing & Proposed Charges Schedule

Sl. No.	User Type	EXISTING TARIFFS		PROPOSED TARIFFS	
		Monthly Fixed Charge per Connection (Rs.)	Total Revenues	Monthly Fixed Charge per Connection (Rs.)	Total Revenues
1	Licensee				
2					
3					
4	Generation				
5					
6					
7					



राजपत्र, हिमाचल प्रदेश

(असाधारण)

हिमाचल प्रदेश राज्य शासन द्वारा प्रकाशित

शिमला, शुक्रवार, 30 सितम्बर, 2005/8 अश्विन, 1927

हिमाचल प्रदेश सरकार

कार्यालय उपायुक्त सोलन, जिला सोलन, हिमाचल प्रदेश

गुद्धि-पत्र

सोलन-173 212, 8 सितम्बर, 2005

संख्या एस० एल० एन०-1-8/प०-नि०/2005-2126-71.—प्रविष्टि समसंख्यक दिनांक 25-8-2005 के क्रम में निम्नलिखित विकास खण्डों में दर्शाये गए विवरण को निम्न अनुसार पढ़ा जाए :—

विकास खण्ड	ग्राम पंचायत	2001 अनुसार जन संख्या	वार्डों की संख्या	वार्डों के लिए निर्धारित सीटों का संख्या
1	2	3	4	5
1. सोलन	1. सपरून	2291	7	7
	2. नौणी मझगांव	1452	5	5
2. नालागढ़	1. ढांगनिहली	3069	9	9
	2. नन्दपुर	1464	5	5
3. धर्मपुर	1. बाडियां	2440	7	7
	2. गांगुडी	1268	5	5
4. कुनिहार	1. चाखड	1377	5	5
	2. हनुमान बडोह	1845	7	7
जिला परिषद्	—	408455	17	17

हस्ताक्षरित/-
उपायुक्त सोलन,
जिला सोलन (हि० प्र०) ।

आदेश

सोलन-173 212, 8 सितम्बर, 2005

संख्या एस0 एल0 एन0-1-8/प0 नि0/2005-2096-2125.— हिमाचल प्रदेश पंचायती राज (निर्वाचन) नियम, 1994 के नियम 9 तथा आयुक्त एवं सचिव (ग्रामीण विकास एवं पंचायती राज) हि0 प्र0 सरकार के पत्र संख्या पी0 सी0 एच0 ए0-एच0 ए0(1)2/2005, दिनांक 22-8-2005 का अनुसरण करते हुए, जिला परिषद्, सोलन के निर्वाचन क्षेत्रों (वार्डों) संख्या 1 से 17 के परिसीमन की जो प्रस्तावना जन-साधारण के सूचनार्थ दिनांक 26-8-2005 को प्रकाशित की गई थी जिस पर दिनांक 1-9-2005 तक आपत्ति/आक्षेप अथवा सुझाव आमन्त्रित किए गए थे, परन्तु उक्त निर्धारित अवधि में कोई आपत्ति/आक्षेप अथवा सुझाव प्राप्त नहीं हुआ है। अतः मैं, राजेश कुमार, उपायुक्त सोलन, जिला सोलन, हिमाचल प्रदेश पंचायती राज (निर्वाचन) नियम, 1994 के नियम 9 के अन्तर्गत प्राप्त शक्तियों का प्रयोग करते हुए, एतद्वारा जिला परिषद्, सोलन के निर्वाचन क्षेत्रों (वार्डों) संख्या 1 से 17 को अन्तिम रूप से अधिसूचित करता हूँ :—

मारणी

क्र0 सं0 तथा निर्वाचन क्षेत्र	विस्तार निर्वाचन क्षेत्र में सम्मिलित ग्राम सभाओं के नाम तथा विकास खण्ड	निर्वाचन क्षेत्र की सीमाएं
1	2	3

विकास खण्ड : कुनिहार

1. दाडला	1. बंरल 2. चाखड 3. दाडला 4. दसेरन 5. ग्याणा 6. कश्लोग 7. मांगल 8. मांग 9. नवगांव 10. पारन 11. संघोई 12. सनयाडी मोड 13. सेवडा चण्डी	उत्तर में जिला मण्डी के क्षेत्र से सीमाबद्ध पूर्व में जिला मण्डी से सीमाबद्ध दक्षिण में जिला परिषद् वार्ड नं0-2 से सीमाबद्ध पश्चिम में जिला बिलासपुर से सीमाबद्ध।
2. धुन्धन	1. हनुमाम वडोग 2. वधालग 3. बलेरा 4. वडोग 5. वसंतपुर 6. धुन्धन	उत्तर में जि0 प0 वार्ड संख्या 1 से सीमाबद्ध पूर्व में जिला शिमला के क्षेत्र से सीमाबद्ध दक्षिण में जि0 प0 वार्ड संख्या 3 से सीमाबद्ध। पश्चिम में जिला बिलासपुर के क्षेत्र एवं जि0 प0 वार्ड-1 से सीमाबद्ध।

1	2	3
	<ol style="list-style-type: none"> 7. कुंहर 8. मटेरनी 9. घमागू 10. सारमा 11. सरयाज 12. गहरोल 13. सूरजपुर 14. दावटी 	
3. डुमैहर	<ol style="list-style-type: none"> 1. सरली 2. भूमति 3. सान्नि 4. चम्पावल 5. दानीघाट 6. डुमैहर 7. बनोह 8. मान 9. पलानिया 10. रोह्वांज जलाना 11. देवरा 12. कोटली 13. पलोम 14. दधोगी 15. बातन 	<p>उत्तर में जि० प० वार्ड नं० 2 से सीमाबद्ध</p> <p>पूर्व में जिला शिमला के क्षेत्र से सीमाबद्ध</p> <p>दक्षिण में जि० प० वार्ड सं० 4 से सीमाबद्ध</p>
	विकास खण्ड : कुनिहार	
4. कुनिहार	<ol style="list-style-type: none"> 1. हाटकोट 2. कुनिहार 3. कोठी 	
	विकास खण्ड : कण्डाघाट	
	<ol style="list-style-type: none"> 1. काहला 2. कनैर 3. कोट 4. बमलीग 5. पोभना 6. सतडोल 7. सायरी 8. जघाणा 	<p>उत्तर में जि० प० वार्ड नं० 3 से सीमाबद्ध</p> <p>पूर्व में जिला शिमला क्षेत्र से सीमाबद्ध</p> <p>दक्षिण में जि० प० वार्ड 10 से सीमाबद्ध</p> <p>पश्चिम में जि० प० वार्ड 10 से सीमाबद्ध</p>

1

2

3

विकास खण्ड : सोलन

1. हरिपुर
2. जाबल जमरोट
3. जाडली
4. कक्डहट्टी
5. पट्टा बरोरी

विकास खण्ड : कण्डाघाट

5. सिरीनगर

1. दाजणी
2. बाशा
3. बिशा
4. चायल
5. छीसा
6. देलगी
7. धंधील
8. हिल्लर
9. झाझा
10. क्वारग
11. नगाली
12. सकौडी
13. सिरीनगर
14. तुन्दल
15. वाकना

उत्तर में जिला शिमला क्षेत्र से सीमाबद्ध
पूर्व में जिला शिमला व सिरमौर क्षेत्र से सीमाबद्ध

दक्षिण में जिला पठानकोट नं० 6 से सीमाबद्ध
पश्चिम में जिला परिषद् वार्ड 4 व 7 से सीमाबद्ध

विकास खण्ड : सोलन

17. भारती

विकास खण्ड : कण्डाघाट

6. सलोगडा

1. 1. मही

विकास खण्ड : सोलन

2. 1. बसाल
3. 2. धरोट
4. 3. जोणा जी
5. 4. कोठों
6. 5. मशीवर
7. 6. ओच्छाघाट
8. 7. पडग
9. 8. गलोगडा
10. 9. सनहोल

उत्तर में जिला परिषद् वार्ड नं० 5 से सीमाबद्ध है
पूर्व में जिला परिषद् वार्ड नं० 5 से सीमाबद्ध

दक्षिण में जिला मिरमौर से सीमाबद्ध है
पश्चिम में जिला परिषद् वार्ड नं० 7 से सीमाबद्ध है

1

2

3

11. 10. सेर बनेडा
12. 11. सेरी (बज्जहोल)
13. 12. शामती
14. 13. नौणी मझगांव
15. 14. शमरोड

विकास खण्ड : सोलन

7. आंजी

- | | |
|----------------|---|
| 1. अन्हेच | उत्तर में जिला परिषद् वार्ड नं० 5 से सीमाबद्ध |
| 2. बडोग | पूर्व में जिला परिषद् वार्ड नं० 6 से सीमाबद्ध |
| 3. भोजनगर | दक्षिण में जिला परिषद् वार्ड नं० 8 से सीमाबद्ध है |
| 4. बोहरी | |
| 5. चामत भडेच | पश्चिम में जिला परिषद् वार्ड नं० 8 से सीमाबद्ध |
| 6. वेवा | |
| 7. टांगरी | |
| 8. आंजी | |
| 9. स्परून | |
| 10. देवठी | |
| 11. काबांकलां | |
| 12. नेरीकलां | |
| 13. शडयाणा | |
| 14. तोप की वेड | |
| 15. कौरो | |

विकास खण्ड : धर्मपुर

8. धर्मपुर

- | | |
|--------------|--|
| 1. आंजीमातला | उत्तर में जिला परिषद् वार्ड नं० 7 से सीमाबद्ध |
| 2. बलासर | पूर्व में जिला परिषद् वार्ड नं० 7 व जिला सिरमौर से सीमाबद्ध। |
| 3. चामों | दक्षिण में हरियाणा राज्य से सीमाबद्ध |
| 4. धर्मपुर | |
| 5. गुल्हाडी | पश्चिम में जि० प० वार्ड नं० 9 से सीमाबद्ध |
| 6. हुडंग | |
| 7. जाबली | |
| 8. जंशेशु | |
| 9. प्राश्ना | |
| 10. गैडी | |
| 11. टकमाल | |
| 12. नारायणी | |

विकास खण्ड : धर्मपुर

9. कसीली गढखल

- | | |
|------------|---|
| 1. चामियां | उत्तर में जि० प० वार्ड 10 से सीमाबद्ध |
| 2. गनोल | पूर्व में जिला परिषद् वार्ड नं० 8 से सीमाबद्ध |

1

2

3

3. गढखल सनावर
4. कालझिण्डा
5. कसौली गढखल
6. कोट
7. कोटबेजा
8. कृष्णगढ़
9. मन्धाला
10. नाहूरी
11. निचली गांगुडी
12. पट्टा नाली

दक्षिण में हरियाणा राज्य क्षेत्र से
पश्चिम में जि० प० बार्ड नं० 10 से सीमाबद्ध

10. दाडवा

1. बढलग
2. बाडिया
3. भावगडी
4. बुधार कनैता
5. चण्डी
6. दाडवा
7. ढकरियाणा
8. घडसी
9. गोयला
10. जाडला
11. नालका
12. जगजीत नगर

उत्तर में जि० प० बार्ड नं० 4 व 7 से सीमाबद्ध
पूर्व में जिला परिषद् बार्ड नं० 7 तक सीमाबद्ध
दक्षिण में जि० प० बार्ड नं० 11 से सीमाबद्ध
पश्चिम में जिला परिषद् बार्ड नं० 12 व 13 से
सीमाबद्ध।

विकास खण्ड : धर्मपुर

11. बगोटीवाला

1. बगोटीवाला
2. सूरजपुर

उत्तर में जि० प० बार्ड नं० 10 व 12 से सीमाबद्ध
पूर्व में 9 व 10 से तथा दक्षिण में हरियाणा
राज्य पश्चिम में पंजाब सीमा क्षेत्र।

विक्रम खण्ड : नालागढ़

1. हरिपुर संडौली
2. गुल्लरवाला
3. भटौलीकलां
4. ठाणा
5. लेही

विकास खण्ड : नालागढ़

12. मेडा

1. बेला
2. खंडा

उत्तर में जिला परिषद् बार्ड 13 से सीमाबद्ध
पूर्व में जिला परिषद् बार्ड 10 से सीमाबद्ध

1	2	3
	3. किरपालपुर 4. किशनपुरा 5. नन्दपुर 6. मलपुर 7. मानपुरा 8. सुनेड 9. लोधीमाजरा	दक्षिण में जिला परिषद् वार्ड 11 से सीमाबद्ध। पश्चिम में पंजाब राज्य से सीमाबद्ध।
13. राजपुरा (मञ्जौली)	1. भाटिया 2. दागनिहली 3. मञ्जौली 4. माजरा 5. पलासीकलां 6. रडियाली 7. राजपुर 8. बारियां	उत्तर में जिला परिषद् वार्ड नं० 14 व 15 से सीमाबद्ध। पूर्व में जिला परिषद् वार्ड 10 से सीमाबद्ध। दक्षिण में जिला परिषद् वार्ड 12 से सीमाबद्ध। पश्चिम में पंजाब राज्य से सीमाबद्ध।
14. दभोटा	1. बरूणा 2. भोगपुर 3. गोलजमाला 4. कश्मीरपुरा 5. दभोटा 6. नवागांव 7. पंजेहरा	उत्तर में जिला परिषद् वार्ड 17 से सीमाबद्ध। पूर्व में जिला परिषद् वार्ड 15 व 16 से सीमाबद्ध। दक्षिण में जिला परिषद् वार्ड 13 से सीमाबद्ध। पश्चिम में पंजाब राज्य से सीमाबद्ध।
15. चमदार	1. बघोखरी 2. बेहड़ी 3. बायला 4. चमदार 5. छियाछी 6. दिग्गम 7. सोढी 8. मनलोगकलां 9. मटूली 10. बवासनी 11. रामशहर 12. साई	उत्तर में जिला परिषद् वार्ड नं० 16 व 3 से पूर्व में जिला परिषद् वार्ड 2 व 3 से सीमाबद्ध। दक्षिण में जिला परिषद् वार्ड 13 व 10 से सीमाबद्ध। पश्चिम में जिला परिषद् वार्ड 16 से सीमाबद्ध।
16. रतवाडी	1. लूनस 2. नण्ड 3. भियूखरी 4. धरमाण	उत्तर में जिला बिलासपुर क्षेत्र से सीमाबद्ध। पूर्व में जिला परिषद् वार्ड 2 से सीमाबद्ध। दक्षिण में जिला परिषद् वार्ड नं० 15 से सीमाबद्ध। पश्चिम में जिला परिषद् वार्ड नं० 17 से सीमाबद्ध।

1

2

3

5. डौली
6. क्यार कनेता
7. कोईडी
8. लग
9. मितियां
10. मलीण
11. पोले दा खाला
12. रतवाडी
13. सौर
14. चडोग

17. कुण्डलू (जुखाडी)

1. बैरछा
2. घोलीवाल
3. जगतपुर
4. जोधों
5. जुखाडी
6. करसौली
7. खिलियां
8. कुण्डलू
9. मस्तानपुर
10. मलैहणी
11. धड्याच
12. बघेरी
13. जगली
14. बगलैहड

उत्तर में जिला बिलासपुर के क्षेत्र से सीमाबद्ध।
 पूर्व में जिला परिषद् वार्ड 16 से सीमाबद्ध।
 दक्षिण में जिला परिषद् वार्ड 14 से सीमाबद्ध।
 पश्चिम में पंजाब व जिला बिलासपुर क्षेत्र से सीमाबद्ध।

राजेश कुमार,
 उपायुक्त, सोलन,
 जिला सोलन, हिमाचल प्रदेश।